

NORMAL FAMILIES, MULTIPLICITY AND THE BRANCH SET OF QUASIREGULAR MAPS

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Abstract. A criterion for normality and compactness of families of K -quasiregular mappings of bounded multiplicity is established and then applied to the study of the branch set and its image.

1. Introduction

Let D be a domain in \mathbf{R}^n , $n \geq 2$, and let $f: D \rightarrow \mathbf{R}^n$ be a discrete and open map. By a theorem of Chernavskii [C1]–[C2], see also [V1], both the branch set B_f of f , i.e. the set where f fails to define a local homeomorphism, and fB_f have topological dimension $\leq n - 2$. For $n = 2$, B_f consists of isolated points, the local behavior of f at a point $x \in D$ is quite simple, and it is classified by its local topological index $i(x, f)$. Contrary to the planar case, little is known of the structure of B_f for $n \geq 3$, and maps with the same index $i(x, f)$ at x may have different topological behavior in any neighborhood of x . Even for $n = 3$ and for small values of $i(x, f)$, the local behavior of a discrete open map can be complicated unless the image of the branch set is relatively simple near the point $f(x)$; see [MRV3, 3.20] and [MSr, 3.8].

Suppose that $f: D \rightarrow \mathbf{R}^n$, $n \geq 2$, is quasiregular. This means that f is continuous, locally in the Sobolev space $W^{1,n}$, and for some $K \geq 1$

$$(1.1) \quad |f'(x)|^n \leq KJ(x, f)$$

a.e. in D . Here $f'(x)$ is the formal derivative of f at x , $|f'(x)| = \sup_{|h|=1} |f'(x)h|$ and $J(x, f) = \det f'(x)$ is the Jacobian determinant of f at x . By a theorem of

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Reshetnyak [Re, pp. 183–184], f is either constant or a discrete, open and sense-preserving map. We shall only consider the latter case. Since quasiregular maps form a natural generalization of plane analytic functions to higher dimensional euclidean spaces, rather many studies have been devoted to the metric structure of their branch sets.

In [MRV1] it was shown that $m(B_f) = 0 = m(fB_f)$, where m refers to the Lebesgue measure in \mathbf{R}^n ; see also [Re, p. 224]. Moreover, on each $(n-1)$ -hyperplane T , $m_{n-1}(T \cap B_f) = 0 = m_{n-1}(T \cap fB_f)$; see [Re, p. 221] and [MR, 3.1] for these results. Sarvas [S2, 4.10] showed that for any compact set $C \subset D$, $\dim_{\mathcal{H}}(B_f \cap C) < n$ where $\dim_{\mathcal{H}}$ refers to the Hausdorff dimension. In [MRV3, 4.4] it was proved that if $n \geq 3$ and if B_f omits an open cone $C_x(\alpha)$ with vertex at x and opening angle $\alpha > 0$, then $i(x, f) \leq N(\alpha, K, n)$. We replace the cone $C_x(\alpha)$ by a curvilinear cone and show in Section 5 that this result is quantitatively the best possible.

In this paper we study metric properties of the domain $D \setminus B_f$, assuming that $f: D \rightarrow \mathbf{R}^n$ is a K -quasiregular map of finite multiplicity

$$N(f) = \sup \{ \#f^{-1}(y) : y \in \mathbf{R}^n \} < \infty.$$

For example, we show in Section 3 that if $D = \mathbf{R}^n$, then $\mathbf{R}^n \setminus B_f$ and $\mathbf{R}^n \setminus fB_f$ are uniform domains, and hence contain arbitrarily large balls. In fact, there are arbitrarily large balls in which f is injective. The proofs are based on normal family properties of quasiregular maps of finite multiplicity. These are studied in Section 2, and they differ considerably from the quasiconformal case. In Section 4 we show that the class of nullsets for uniform domains and the class of porous sets are invariant under quasiregular maps $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ of finite multiplicity. The results hold in all dimensions $n \geq 2$.

Iwaniec [Iw] has studied normal families and injectivity of quasiregular mappings. His studies were mainly devoted to the stability problem, i.e. to quasiregular mappings in \mathbf{R}^n , $n \geq 3$, whose dilatation coefficient K is close to 1. He also uses a different type of normalization.

Our notation is standard. In particular, $B^n(x, r)$ or $B(x, r)$ denotes the open ball centered at $x \in \mathbf{R}^n$ with radius $r > 0$, $B^n(r) = B^n(0, r)$ and $B^n = B^n(1)$. Also $S^{n-1}(x, r) = \partial B^n(x, r)$, $S^{n-1}(r) = S^{n-1}(0, r)$ and $S^{n-1} = S^{n-1}(1)$. For $A \subset \mathbf{R}^n$ and $r > 0$, we let $B(A, r) = \{x : \text{dist}(x, A) < r\}$ denote the r -neighborhood of A with $B(A, \infty) = \mathbf{R}^n$. The one-point extension of \mathbf{R}^n is $\dot{\mathbf{R}}^n = \mathbf{R}^n \cup \{\infty\}$. For real numbers r, s we write $r \wedge s = \min(r, s)$.

2. Normalization and normality

Let D be a domain in \mathbf{R}^n , $n \geq 2$, let $1 \leq N < \infty$, and let \mathcal{F} denote the family of all K -quasiregular maps $f: D \rightarrow \mathbf{R}^n$ with $N(f) \leq N$. Clearly, \mathcal{F} is invariant under the action of sense preserving similarities of \mathbf{R}^n , i.e. $A \circ f \in \mathcal{F}$

if $f \in \mathcal{F}$ and A is a sense preserving similarity. Next, let $\varphi: \mathcal{F} \rightarrow \mathbf{R}$ be a functional, and suppose that φ is invariant under sense preserving similarities, i.e. $\varphi(A \circ f) = \varphi(f)$, where A and f are as above.

In studying the infimum of φ on \mathcal{F} one often considers a sequence (f_k) of elements of \mathcal{F} such that

$$\lim_{k \rightarrow \infty} \varphi(f_k) = \inf_{f \in \mathcal{F}} \varphi(f).$$

In view of the similarity invariance of \mathcal{F} and φ , one may replace each f_k by another element $g_k \in \mathcal{F}$ which satisfies certain normalization conditions, such as

$$(2.1) \quad g_k(a) = a \quad \text{and} \quad g_k(b) = b$$

for two fixed points a and b in D .

In the case where $n = 2$, $K = 1$ and $N = 1$, the maps are complex analytic univalent functions, and one can normalize the maps g_k also by the condition

$$(2.2) \quad g_k(a) = a \quad \text{and} \quad g'_k(a) = 1.$$

In this case, each of the conditions (2.1) and (2.2) implies normality, and this fact is widely used in the theory of analytic univalent functions, cf. [Po2] and [Sc]. This, however, is not the case as soon as $N > 1$, as noted already in [Po2] and can be seen from the following two examples. The functions

$$g_k(z) = (k + 1)z - kz^2, \quad z \in \mathbf{C},$$

$k = 1, 2, \dots$, are analytic and 2-valent in $B^2(r)$ for any $r > 1$. They satisfy (2.1) with $a = 0$ and $b = 1$, but (g_k) is not normal in any neighborhood of 0 since $g_k(1/k) = 1$, $k = 1, 2, \dots$. The functions

$$g_k(z) = z - kz^2, \quad z \in \mathbf{C},$$

$k = 1, 2, \dots$, are analytic and 2-valent in $B^2(r)$ for any $r > \frac{1}{2}$. They satisfy (2.2) with $a = 0$, but (g_k) is not normal in any neighborhood of 0 because $g_k(k^{-1/3}) \rightarrow \infty$ as $k \rightarrow \infty$. These two examples can be generalized to quasiregular maps in all dimensions $n \geq 2$ showing that another normalization is needed for noninjective maps.

Let \mathcal{F} and φ be as above. Choose a point $a \in D$ and a number $R > 0$ such that $\overline{B}(a, R) \subset D$. Then, by the similarity invariance of \mathcal{F} and φ , for each $f \in \mathcal{F}$ there exists $g \in \mathcal{F}$ with $\varphi(g) = \varphi(f)$ such that

$$g(a) = 0 \quad \text{and} \quad \max_{|x-a|=R} |g(x)| = 1.$$

We show in 2.5 that this normalization yields a normal, and even compact, family of elements g of \mathcal{F} in $B(a, R)$. This will follow from a more general result 2.4, which will be needed in Section 3. We recall that a family \mathcal{F} of maps $f: D \rightarrow \mathbf{R}^n$ is normal if from each sequence of functions $f_k \in \mathcal{F}$ it is possible to extract a subsequence (f_{k_i}) which converges locally uniformly in D to a function $f: D \rightarrow \mathbf{R}^n$.

We first prove a distortion lemma.

2.3. Lemma. *Let $0 < r < s < R \leq \infty$, $1 \leq K < \infty$, $N \geq 1$ and $n \geq 2$. Then there is $c = c(r, s, R, K, N, n)$ with the following property: If $f: B^n(R) \rightarrow \mathbf{R}^n$ is a K -quasiregular map with $f(0) = 0$ and $N(f) \leq N$, and if $A \subset \overline{B}^n(r)$ is a continuum joining 0 and $S^{n-1}(r)$, then*

$$\max\{|f(x)| : |x| \leq s\} \leq c \max\{|f(x)| \in A\}.$$

Proof. Let m_0 and m_1 be the maximum of $|f(x)|$ over $x \in A$ and $|x| \leq s$, respectively. Choose $x \in S^{n-1}(s)$ with $|f(x)| = m_1$, and define a path $\alpha: [1, \infty) \rightarrow \mathbf{R}^n$ by $\alpha(t) = tf(x)$. Let $\alpha^*: [1, t_0) \rightarrow B^n(R)$ be a maximal lift of α starting at x ; see [MRV3, 3.11]. Then $|\alpha^*(t)| \geq s$ for all t , and $|\alpha^*(t)| \rightarrow R$ as $t \rightarrow t_0$.

Let Γ be the family of all paths joining A and the locus of α^* in $B^n(R)$. For the modulus $M(\Gamma)$, a standard estimate gives a lower bound

$$M(\Gamma) \geq q(r, s, R, n) > 0;$$

see [GM, 2.6 and 2.12]. We may assume that $m_0 < m_1$. Since each member of $f\Gamma$ meets the spheres $S^{n-1}(m_0)$ and $S^{n-1}(m_1)$, we have

$$M(f\Gamma) \leq \omega_{n-1} \left(\log \frac{m_1}{m_0} \right)^{1-n}.$$

Since f is K -quasiregular with $N(f) \leq N$, the $K_O(f)$ -modulus inequality [MRV1, 3.2] yields $M(\Gamma) \leq KNM(f\Gamma)$. Combining these inequalities we obtain the lemma.

2.4. Theorem. *Suppose that $0 < r < R \leq \infty$, $0 < r' < \infty$, $1 \leq K < \infty$, $N \geq 1$, and that \mathcal{F} is a family of K -quasiregular maps $f: B^n(R) \rightarrow \mathbf{R}^n$ such that $N(f) \leq N$, $f(0) = 0$, and such that for each $f \in \mathcal{F}$ there is a continuum $A(f)$ with the properties*

$$0 \in A(f), \quad \max\{|x| : x \in A(f)\} = r, \quad \max\{|f(x)| : x \in A(f)\} = r'.$$

Then \mathcal{F} is a normal family. If $f_k \in \mathcal{F}$ and if $f_k \rightarrow f$ locally uniformly in $B^n(R)$, then f is a K -quasiregular map with $N(f) \leq N$.

Proof. For $r < s < R$, Lemma 2.3 implies that $|f(x)| \leq c(r, s, R, K, N, n)r'$ for all $|x| \leq s$ and $f \in \mathcal{F}$. Thus \mathcal{F} is uniformly bounded in $B^n(s)$, and the normality of \mathcal{F} follows from [MRV2, 3.17] or from [Re, p. 220].

Next let (f_k) be a sequence in \mathcal{F} converging to a map f locally uniformly in $B^n(R)$. By a theorem of Reshetnyak [Re, p. 218], f is K -quasiregular. For each k there is a point $x_k \in A(f_k) \cap S^{n-1}(R)$ with $|f_k(x_k)| = r'$. Hence $|f(x)| = r'$ for some $x \in \overline{B}^n(r)$. Since $f(0) = 0$, f is nonconstant. The inequality $N(f) \leq N$ follows by an easy degree argument.

2.5. Corollary. Let $1 < R \leq \infty$, $1 \leq K < \infty$ and $1 \leq N < \infty$, and let \mathcal{F} be a family of K -quasiregular maps $f: B^n(R) \rightarrow \mathbf{R}^n$ with $N(f) \leq N$ satisfying

$$f(0) = 0 \quad \text{and} \quad \max_{|x|=1} |f(x)| = 1.$$

Then \mathcal{F} is a normal family. Moreover, if $f_k \in \mathcal{F}$ and $f_k \rightarrow f$ locally uniformly in $B^n(R)$, then f is K -quasiregular and $N(f) \leq N$.

2.6. Remark. The assumption in 2.5 that $N(f) \leq N$ for all $f \in \mathcal{F}$ is indispensable as can be seen by considering z^k , $k = 1, 2, \dots$, for $n = 2$, and a sequence of polynomial-like K -quasiregular maps $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ in the sense of [Ri, I.3.2] or [Mr, Th. 2] for $n > 2$.

3. The branch set and multiplicity

3.1. Terminology. Let $c \geq 1$. A set $A \subset \mathbf{R}^n$ is *c-plump* if for each $x \in \bar{A}$ and for each $r > 0$ with $A \setminus B(x, r) \neq \emptyset$ there is $z \in \bar{B}(x, r)$ such that $B(z, r/c) \subset A$. A set $F \subset \mathbf{R}^n$ is *c-porous* if $\text{int } F = \emptyset$ and if $\mathbf{R}^n \setminus F$ is *c-plump*.

Let D be a proper subdomain of \mathbf{R}^n . For each $x \in D$ we write

$$\delta(x) = \delta_D(x) = \text{dist}(x, \partial D).$$

A domain D is *c-uniform* if $D = \mathbf{R}^n$ or if each pair of points a, b in D can be joined by a rectifiable path $\gamma: [0, l(\alpha)] \rightarrow D$, parametrized by arc length, such that $l(\gamma) \leq c|a - b|$ and such that

$$(3.2) \quad t \wedge (l(\alpha) - t) \leq c\delta(\gamma(t))$$

for all $t \in (0, l(\alpha))$; see [MS] and [V4]. Recall that $t \wedge s$ denotes $\min(t, s)$.

We recall from [MRV1] some basic properties of a discrete open map $f: D \rightarrow \mathbf{R}^n$. A domain U is a *normal domain* of f if \bar{U} is compact in D and if $f\partial U = \partial fU$. For $x \in D$, the x -component $U(x, f, r)$ of $f^{-1}B(f(x), r)$ is a normal domain of f whenever its closure is compact in D . Then $fU(x, f, r) = B(f(x), r)$. If, in addition, $U(x, f, r)$ meets $f^{-1}(f(x))$ only at x , it is called a *normal neighborhood* of x . If U is a normal domain of f , then f defines a proper map $U \rightarrow fU$, that is, the preimage of every compact set is compact.

For each $x \in D$ there is $r_0 > 0$ such that $U(x, f, r_0)$ is a normal neighborhood of x for each $r \leq r_0$, and $\text{diam } U(x, f, r) \rightarrow 0$ as $r \rightarrow 0$. Moreover, the topological degree $\mu(f(x), f, U(x, f, r))$ is independent of $r \in (0, r_0]$, and it is the local index $i(x, f)$ of f at x . We also have $|i(x, f)| = N(f|V)$ for every neighborhood $V \subset U(x, f, r_0)$ of x . A point $x \in D$ is in B_f if and only if $|i(x, f)| \geq 2$. Nonconstant quasiregular maps are sense-preserving, that is, $i(x, f) > 0$ for all $x \in D$.

If $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is quasiregular with $N(f) = N < \infty$, then f extends to a continuous map $f: \dot{\mathbf{R}}^n \rightarrow \dot{\mathbf{R}}^n$ by $f(\infty) = \infty$. This follows, for example, from [Ri, III.2.11]. Consequently, f is a proper map and also a closed map onto \mathbf{R}^n .

As main results of this section we shall show that if $f: D \rightarrow \mathbf{R}^n$ is K -quasiregular with $N(f) \leq N < \infty$ and if D is c -plump or c -uniform, then $D \setminus B_f$ has the same properties with a constant $c' = c'(c, N, K, n)$.

For plumpness this follows from the following stronger result.

3.3. Theorem. *For each $n \geq 2$, $K \geq 1$ and $N \geq 1$ there exists $q = q(N, K, n) > 0$ such that f is injective in some ball $B(x, q) \subset B^n$ whenever $f: B^n \rightarrow \mathbf{R}^n$ is K -quasiregular with $N(f) \leq N$.*

Proof. Assume that the theorem is false for some triple (N, K, n) . Then there is a sequence of K -quasiregular maps $f_k: B^n \rightarrow \mathbf{R}^n$ with $N(f_k) \leq N$ such that f_k is not injective in any ball $B(x, 1/k) \subset B^n$. By auxiliary similarities we can normalize the maps f_k so that

$$f_k(0) = 0, \quad \max\{|f_k(x)| : |x| \leq \frac{1}{2}\} = 1.$$

Applying 2.5 and passing to a subsequence we may assume that the sequence (f_k) converges locally uniformly to a nonconstant K -quasiregular map $f: B^n \rightarrow \mathbf{R}^n$. Choose a ball $\bar{B}(a, r) \subset B^n$ in which f is injective. Then the topological degree $\mu(f(x), f, B(a, r))$ is 1 for all $x \in B(a, r)$. Since $f_k \rightarrow f$ uniformly in $\bar{B}(a, r)$, there is k_0 such that $\mu(f_k(x), f_k, B(a, r)) = 1$ for all $k \geq k_0$ and $x \in B(a, r/2)$. Hence $f_k \upharpoonright B(a, r/2)$ is injective for all $k \geq k_0$, which gives a contradiction.

3.4. Theorem. *Suppose that $D \subset \mathbf{R}^n$ is a c -plump domain and that $f: D \rightarrow \mathbf{R}^n$ is a K -quasiregular map with $N(f) \leq N < \infty$. Then $D \setminus B_f$ is c' -plump with $c' = c'(c, N, K, n)$.*

Proof. Assume that $x \in \bar{D}$, $r > 0$, and $D \setminus B(x, r) \neq \emptyset$. Since D is c -plump, there is $z \in \bar{B}(x, r)$ with $B(z, r/c) \subset D$. By 3.3, f is injective in some ball $B(y, qr/c) \subset B(z, r/c)$ where $q = q(N, K, n)$. Hence $D \setminus B_f$ is (c/q) -plump.

3.5. Corollary. *If $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is K -quasiregular with $N(f) \leq N < \infty$, then B_f is c -porous with $c = c(N, K, n)$. In particular, $\mathbf{R}^n \setminus B_f$ contains arbitrarily large balls.*

3.6. Remark. Every K -quasiconformal map $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is η -quasisymmetric with $\eta = \eta_{K, n}$; see [V3, 2.5]. Hence f maps each c -porous set in \mathbf{R}^n onto a c' -porous set, $c' = c'(c, K, n)$. In particular, the image of each $(n-2)$ -dimensional plane $T \subset \mathbf{R}^n$ is c' -porous with $c' = c'(K, n)$. We remark that this result can also be obtained from 3.5. Indeed, there is a 2-valent quasiregular winding map $w: \mathbf{R}^n \rightarrow \mathbf{R}^n$ with $B_w = T$, and then fT is the branch set of the quasiregular map $w \circ f^{-1}$.

To obtain the uniform version of 3.4 we need some auxiliary results.

3.7. *Terminology.* The *relative distance* between points a, b in a domain $D \neq \mathbf{R}^n$ is the number

$$r_D(a, b) = \frac{|a - b|}{\delta(a) \wedge \delta(b)},$$

where $\delta(x) = \text{dist}(x, \partial D)$ as before. For $c \geq 1$, we say that a pair (a, b) of points in D is a c -pair in D if $1 \leq r_D(a, b) \leq c$. This is a simplified version of the notion considered in [V4, 2.13].

3.8. **Lemma.** *Suppose that $D \neq \mathbf{R}^n$ is a domain and that*

- (1) *D is c -plump.*
- (2) *For each $8c$ -pair (a, b) in D there is an arc γ joining a and b such that*

$$\delta(a) \wedge \delta(b) \leq c_0 \text{dist}(\gamma, \partial D), \quad \text{diam } \gamma \leq c_0 |a - b|.$$

Then D is a c' -uniform domain with $c' = c'(c, c_0, n)$.

Proof. Suppose that $B(a, r)$ and $B(b, s)$ are balls in D such that $r/s \in [1/2, 2]$ and $|a - b| \leq 4c \max(r, s)$. By [V4, 2.15 and 2.10], it suffices to show that a and b can be joined by an arc γ such that $\text{diam } \gamma \leq c_1 |a - b|$ and such that

$$|x - a| \wedge |x - b| \leq c_1 \delta(x)$$

for all $x \in \gamma$ with $c_1 = c_1(c, c_0)$.

If $r_D(a, b) \leq 1$, we can choose γ to be the line segment $[a, b]$. If $r_D(a, b) \geq 1$, then (a, b) is obviously an $8c$ -pair in D . Hence there is γ satisfying (2). For each $x \in \gamma$ we have

$$\begin{aligned} |x - a| \wedge |x - b| &\leq \text{diam } \gamma \leq c_0 |a - b| \leq 8cc_0 (\delta(a) \wedge \delta(b)) \\ &\leq 8cc_0^2 \text{dist}(\gamma, \partial D) \leq 8cc_0^2 \delta(x), \end{aligned}$$

and the lemma is proved.

3.9. **Lemma.** *Suppose that a and b are points in a c -uniform domain $D \subset \mathbf{R}^n$ such that $0 < |a - b| \leq c'(\delta(a) \wedge \delta(b))$. Then there is $L = L(c, c') \geq 1$ and an L -bilipschitz map $F: B^n(|a - b|) \rightarrow D$ such that $F(0) = a$ and $F(|a - b|e_1/2) = b$.*

Proof. Set $r = \delta(a) \wedge \delta(b)$ and $t = |a - b|$. Then $0 < t \leq c'r$. The assertion is clear if $r < t$, since then $B((a + b)/2, t\sqrt{3}/2) \subset D$. By a result of G. Martin [Ma, 5.1], there is $L = L(c)$ and an L -bilipschitz map $f: \bar{B}^n(t) \rightarrow D$ such that $\{a, b\} \subset f\bar{B}^n(t)$. Set $U = fB^n(t)$. Since f is L -bilipschitz, U is easily seen to be $2L^2$ -plump. Hence there is a ball $B(z, s) \subset U \cap B(a, r/4)$ with $s = r/16L^2$. It follows that there is $L_1 = L_1(c)$ and an L_1 -bilipschitz homeomorphism $g: \bar{B}(a, r/2) \rightarrow \bar{B}(a, r/2)$ such that $g|_{\partial B(a, r/2)} = \text{id}$ and such that $gB(z, s) = B(a, s)$. Since $r \leq t$, the balls $B(a, r/2)$ and $B(b, r/2)$ are

disjoint. Hence we can use the same construction in $B(b, r/2)$ to extend g to an L_1 -bilipschitz homeomorphism $g: \mathbf{R}^n \rightarrow \mathbf{R}^n$ such that

- (1) $B(a, s) \cup B(b, s) \subset gU$,
- (2) $g = \text{id}$ outside $B(a, r/2) \cup B(b, r/2)$.

Setting $h(x) = g(f(x))$ we obtain an LL_1 -bilipschitz homeomorphism $h: B^n(t) \rightarrow gU$. Moreover,

$$(t - |h^{-1}(a)|) \wedge (t - |h^{-1}(b)|) \geq s/LL_1 \geq t/c_1$$

with $c_1 = c_1(c, c') = 16c'L^3L_1$. Hence there is $L_2 = L_2(c, c')$ and an L_2 -bilipschitz homeomorphism $u: B^n(t) \rightarrow B^n(t)$ with $u(h^{-1}(a)) = 0$, $u(h^{-1}(b)) = te_1/2$. The desired map is then $F = h \circ u^{-1}: B^n(t) \rightarrow gU \subset D$.

3.10. Lemma. *Suppose that $f_k: D \rightarrow \mathbf{R}^n$ is a sequence of discrete open maps converging locally uniformly to a discrete open map $f: D \rightarrow \mathbf{R}^n$. Then a point $a \in D$ is in B_f if and only if there are points $x_k \in B_{f_k}$ such that $x_k \rightarrow a$.*

Proof. The ‘if’ part is given in [MR, 3.2]. To prove the converse, it suffices to show that if each f_k is a local homeomorphism, then $|i(a, f)| = 1$.

Choose $r > 0$ such that $U(a, f, 3r)$ is a normal neighborhood of a ; see 3.1. Next choose $k \in \mathbf{N}$ such that $|f_k(x) - f(x)| < r/2$ for all $x \in \bar{U}(a, f, r)$. Then $f_k(a) \in B(f(a), r/2)$. Let V_k be the a -component of $f_k^{-1}B(f(a), 2r)$. Then V_k does not meet $\partial U(a, f, 3r)$, and hence $V_k \subset U(a, f, 3r)$. Moreover, V_k is a normal domain of f_k , and hence f_k defines a covering map of V_k onto $B(f(a), 2r)$. Since $B(f(a), 2r)$ is simply connected, this map is a homeomorphism. Since $U(a, f, r) \subset V_k$, we have

$$i(a, f) = \mu(f(a), f, U(a, f, r)) = \mu(f_k(a), f_k, U(a, f, r)) = \pm 1;$$

see [RR, Th. 6, p. 131].

3.11. Theorem. *Suppose that $D \subset \mathbf{R}^n$ is a c -uniform domain and that $f: D \rightarrow \mathbf{R}^n$ is a K -quasiregular map with $N(f) \leq N < \infty$. Then the domain $D \setminus B_f$ is c' -uniform with $c' = c'(c, N, K, n)$.*

Proof. We show that the domain $G = D \setminus B_f$ satisfies the conditions of 3.8. From the definitions it easily follows that a c -uniform domain is $2c$ -plump. Hence G is c' -plump with $c' = c'(c, N, K, n)$ by 3.4.

It suffices to show that there is $c_0 = c_0(c, N, K, n)$ such that each $8c'$ -pair (a, b) in G can be joined by an arc γ such that

$$\delta_G(a) \wedge \delta_G(b) \leq c_0 \text{dist}(\gamma, \partial G), \quad \text{diam } \gamma \leq c_0|a - b|.$$

Assume that this is false for some (c, N, K, n) . Then there is a sequence of K -quasiregular maps $f_k: D_k \rightarrow \mathbf{R}^n$ such that $N(f_k) \leq N$, the domains $D_k \subset \mathbf{R}^n$

are c -uniform, and there are $8c'$ -pairs (a_k, b_k) in $G_k = D_k \setminus B_{f_k}$ such that for any arc γ joining a_k and b_k in G_k we have

$$(3.12) \quad \delta_k(a_k) \wedge \delta_k(b_k) > k \operatorname{dist}(\gamma, \partial G_k) \quad \text{or} \quad \operatorname{diam} \gamma > k|a_k - b_k|;$$

here $\delta_k(x) = \operatorname{dist}(x, \partial G_k)$.

Setting $r_k = \delta_k(a_k) \wedge \delta_k(b_k)$ and $t_k = |a_k - b_k|$ we have

$$r_k \leq t_k \leq 8c'r_k \leq 8c'(\operatorname{dist}(a_k, \partial D_k) \wedge \operatorname{dist}(b_k, \partial D_k)).$$

By 3.9 there is $L = L(c, c')$ and an L -bilipschitz map $F_k: B^n(t_k) \rightarrow D_k$ such that $F_k(0) = a_k$, $F_k(t_k e_1/2) = b_k$. Define $g_k: B^n \rightarrow \mathbf{R}^n$ by $g_k(x) = f_k(F_k(t_k x))$. Then g_k is K_1 -quasiregular with $K_1 = K_1(K, c, c', n)$, and $N(g_k) \leq N$. By auxiliary similarities we can normalize the situation so that

$$g_k(0) = 0, \quad \max\{|g_k(x)| : |x| \leq 1/2\} = 1.$$

Applying 2.5 and passing to a subsequence we may assume that the sequence (g_k) converges locally uniformly in B^n to a nonconstant K_1 -quasiregular map $g: B^n \rightarrow \mathbf{R}^n$.

Since f_k is locally injective in $B(a_k, r_k)$, and since $t_k \leq 8c'r_k$, the map g_k is locally injective in $B^n(1/8c'L)$ for each k . Hence also $g \upharpoonright B^n(1/8c'L)$ is locally injective, which implies that $0 \notin B_g$ by 3.10. Similarly we obtain $e_1/2 \notin B_g$. Since $B^n \setminus B_g$ is connected, we can join 0 and $e_1/2$ by an arc β in $B^n \setminus B_g$. Setting $\lambda = \operatorname{dist}(\beta, S^{n-1} \cup B_g)$ we have $\operatorname{dist}(\beta, B_{g_k}) \geq \lambda/2$ for large k by [MR, 3.2]. The arc $\gamma_k = F_k[t_k \beta]$ joins a_k and b_k in D_k . Since

$$\operatorname{dist}(\gamma_k, \partial G_k) \geq \frac{t_k \lambda}{2L} \geq \frac{r_k \lambda}{2L},$$

for large k , the first inequality of (3.12) fails for large k . Since $\operatorname{diam} \gamma_k \leq Lt_k \operatorname{diam} \beta \leq 2Lt_k$, the second inequality of (3.12) is not true for large k , and we have reached a contradiction.

3.13. Remark. Theorem 3.11 was proved in [MV, 4.25–4.26] for maps of bounded length distortion. These maps form a proper subclass of the maps considered in 3.11. The case of quasiregular maps is more complicated, since a sequence of maps of L -bounded distortion never converges to a constant.

3.14. *The set fB_f .* Suppose that $f: D \rightarrow \mathbf{R}^n$ is a K -quasiregular map with $N(f) \leq N < \infty$. Without further restrictions, very little can be said about the set $fD \setminus fB_f$. It need not be open, and if it is open, it need not be plump, even if D and fD are plump.

However, if $D = \mathbf{R}^n$, then f is a closed map onto \mathbf{R}^n , and we prove in 3.16 that $\mathbf{R}^n \setminus fB_f$ is a uniform domain. For maps of bounded distortion, this was proved in [MV, 4.25]. A local version is given in 3.17. Both results are corollaries of the more general Theorem 3.15.

3.15. Theorem. *Suppose that $f: D \rightarrow \mathbf{R}^n$ is a K -quasiregular map and that $U \subset D$ is a domain such that*

- (1) $B(U, 2\text{diam}U) \subset D$,
- (2) fU is a ball or $fU = \mathbf{R}^n$,
- (3) f defines a proper map $U \rightarrow fU$ with $N(f|U) \leq N < \infty$.

Then $fU \setminus f[B_f \cap U]$ is a c -uniform domain with $c = c(N, K, n)$.

Recall that $B(A, r)$ denotes the r -neighborhood $\{x \in \mathbf{R}^n : \text{dist}(x, A) < r\}$ of A . Before giving the proof we remark that the conditions of 3.15 hold if $U = D = \mathbf{R}^n$ and $N(f) \leq N$. If $D \neq \mathbf{R}^n$, then (1) implies that U is bounded with $\bar{U} \subset D$. Then (3) means that U is a normal domain of f . In view of the discussion in 3.1, we obtain the following two corollaries of 3.15.

3.16. Theorem. *If $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is a K -quasiregular map with $N(f) \leq N < \infty$, then $\mathbf{R}^n \setminus fB_f$ is a c -uniform domain with $c = c(N, K, n)$.*

3.17. Theorem. *Suppose that $f: D \rightarrow \mathbf{R}^n$ is K -quasiregular and non-constant. Then for each $x \in D$ with $i(x, f) = N \geq 2$ there is $r_0 > 0$ such that for $0 < r \leq r_0$, $U = U(x, f, r)$ is a normal neighborhood of x , and $fU \setminus fB_{f|U} = B(f(x), r) \setminus f[U \cap B_f]$ is a c -uniform domain with $c = c(N, K, n)$.*

3.18. Proof of Theorem 3.15. Part 1. We show that the domain $G = fU \setminus f[B_f \cap U]$ is c -plump with $c = c(N, K, n)$. Assume that this is false for some (N, K, n) . Then, for each $k \in \mathbf{N}$ we can find a K -quasiregular map $f_k: D_k \rightarrow \mathbf{R}^n$ and a domain $U_k \subset D_k$ such that:

- (i) Conditions (1)–(3) hold with $D = D_k$, $f = f_k$, $U = U_k$.
- (ii) The domain $G_k = fU_k \setminus f_k[B_{f_k} \cap U_k]$ is not k -plump.

By (ii), for each $k \in \mathbf{N}$ there are $y'_k \in \bar{G}_k$ and $s_k > 0$ such that $G_k \setminus B(y'_k, s_k) \neq \emptyset$ and such that

$$(3.19) \quad B(z, s_k/k) \not\subset G_k$$

for every $z \in \bar{B}(y'_k, s_k)$. By (2), there is a ball $V'_k = B(y_k, s_k/3)$ with $\bar{V}'_k \subset B(y'_k, s_k) \cap fU_k$. The set $U_k \cap f_k^{-1}(y_k)$ is nonempty and contains at most N points, which we number as a_{1k}, \dots, a_{Nk} , using repetition if necessary. Let V_{jk} be the a_{jk} -component of $f_k^{-1}V'_k$. Then V_{jk} is a normal domain of f_k , and

$$(3.20) \quad f_k V_{jk} = V'_k, \quad U_k \cap f_k^{-1}V'_k = V_{1k} \cup \dots \cup V_{Nk};$$

see 3.1.

Let $t_{jk} = \max\{|x - a_{jk}| : x \in \bar{V}_{jk}\}$, and define similarities S_{jk} and T_k of \mathbf{R}^n by

$$S_{jk}(x) = (x - a_{jk})/t_{jk}, \quad T_k(x) = 3(x - y_k)/s_k.$$

From (3) it follows that $B(a_{jk}, 2t_{jk}) \subset D_k$. Hence we can define K -quasiregular maps $g_{jk}: B^n(2) \rightarrow \mathbf{R}^n$ by $g_{jk} = T_k \circ f_k \circ S_{jk}^{-1} \mid B^n(2)$. Setting $W_{jk} = S_{jk}V_{jk}$ we have $g_{jk}W_{jk} = B^n$.

Applying 2.4 with $r = 1$, $R = 2$, $r' = 1$, $A(g_{jk}) = \overline{W}_{jk}$, and passing successively N times to subsequences, we may assume that for each $j = 1, \dots, N$, the sequence $(g_{jk})_{k \in \mathbf{N}}$ converges locally uniformly in $B^n(2)$ to a nonconstant K -quasiregular map $g_j: B^n(2) \rightarrow \mathbf{R}^n$ with $g_j(0) = 0$. Set

$$(3.21) \quad F_j = g_j[B_{g_j} \cap \overline{B}^n], \quad F = F_1 \cup \dots \cup F_N.$$

Since F is a compact set with empty interior, we can find a ball $B(w, \lambda) \subset B^n \setminus F$. From 3.10 it follows that there is $k_0 \in \mathbf{N}$ such that $B(w, \lambda/2)$ does not meet $g_{jk}[B_{g_{jk}} \cap \overline{B}^n]$ whenever $k \geq k_0$ and $1 \leq j \leq N$. For $z = T_k^{-1}(w)$ we then have $B(z, \lambda s_k/6) \subset G_k$ for $k \geq k_0$. By (3.19), this gives a contradiction for large k .

Part 2. Let $c = c(N, K, n)$ be the number given by Part 1. By 3.8, it suffices to find a number $c_0 = c_0(N, K, n)$ such that each $8c$ -pair (y, z) in G can be joined by an arc γ with the properties

$$\delta_G(y) \wedge \delta_G(z) \leq c_0 \operatorname{dist}(\gamma, \partial G), \quad \operatorname{diam} \gamma \leq c_0|y - z|.$$

We shall modify the proof of Part 1. Assume that c_0 does not exist for some (N, K, n) . Then, for each $k \in \mathbf{N}$ we can find a K -quasiregular map $f_k: D_k \rightarrow \mathbf{R}^n$, a domain $U_k \subset D_k$, and an $8c$ -pair (y_k, z_k) in $G_k = fU_k \setminus f_k[B_{f_k} \cap U_k]$ such that:

- (i) Conditions (1)–(3) hold with $D = D_k$, $f = f_k$, $U = U_k$.
- (ii) If γ is an arc joining y_k and z_k in G_k , then

$$\delta_{G_k}(y_k) \wedge \delta_{G_k}(z_k) \geq k \operatorname{dist}(\gamma, \partial G_k) \quad \text{or} \quad \operatorname{diam} \gamma \geq k|y_k - z_k|.$$

Set

$$q = 1/9c, \quad V'_k = B([y_k, z_k], q|y_k - z_k|).$$

Since (y_k, z_k) is an $8c$ -pair in G_k , we have $\overline{V}'_k \subset fU_k$, and the set $U_k \cap f_k^{-1}(y_k)$ contains precisely N points a_{1k}, \dots, a_{Nk} . For each $j = 1, \dots, N$, we let V_{jk} denote the a_{jk} -component of $f_k^{-1}V'_k$. Then V_{jk} is a normal domain of f_k , and (3.20) holds. It is possible that $V_{ik} = V_{jk}$ for some $i \neq j$.

Set $t_{jk} = \max\{|x - a_{jk}| : x \in \overline{V}_{jk}\}$, and choose similarities S_{jk} and T_k of \mathbf{R}^n such that

$$S_{jk}(x) = (x - a_{jk})/t_{jk}, \quad T_k(y_k) = 0, \quad T_k(z_k) = e_1.$$

By (1) we again have $B(a_{jk}, 2t_{jk}) \subset D_k$, and we can define K -quasiregular maps $g_{jk}: B^n(2) \rightarrow \mathbf{R}^n$ by $g_{jk} = T_k \circ f_k \circ S_{jk}^{-1} \mid B^n(2)$. Setting $W_{jk} = S_{jk}V_{jk}$ and $W' = B([0, e_1], q)$ we have

$$\max\{|x| : x \in \overline{W}_{jk}\} = 1, \quad g_{jk}W_{jk} = W', \quad g_{jk}(0) = 0.$$

Applying 2.4 with $r = 1$, $R = 2$, $r' = 1 + q$, $A(g_{jk}) = \overline{W}_{jk}$, and passing successively to subsequences, we may assume that for each $j = 1, \dots, N$, the sequence $(g_{jk})_{k \in \mathbf{N}}$ converges locally uniformly in $B^n(2)$ to a nonconstant K -quasiregular map $g_j: B^n(2) \rightarrow \mathbf{R}^n$ with $g_j(0) = 0$.

Define F_j and F as in (3.21). We show that $\text{dist}(\{0, e_1\}, F) \geq q$. Assume, for example, that there is $u \in B_{g_j} \cap B^n$ with $|g_j(u) - e_1| < q$. By 3.10 we can find a sequence of points $u_k \in B_{g_{jk}}$ converging to u . For large k we have $|g_{jk}(u) - e_1| < q$. Since $T^{-1}(g_{jk}(u_k)) = f_k(S_{jk}^{-1}(u_k)) \in f_k[B_{f_k} \cap U_k]$, this gives the contradiction $\delta_{G_k}(z_k) < |y_k - z_k|/8c$.

Since F is a compact set with $\dim F \leq n - 2$, we can join 0 and e_1 by an arc $\alpha \subset W' \setminus F$. Set $\lambda = \text{dist}(\alpha, F \cup \partial W') > 0$. By 3.10 we can find $k_0 \geq 2$ such that $\text{dist}(\alpha, g_{jk}[B_{g_{jk}} \cap \overline{B}^n]) \geq \lambda/2$ whenever $k \geq k_0$ and $1 \leq j \leq N$. Let $k \geq k_0$. The arc $\gamma = T_k^{-1}\alpha$ joins y_k and z_k in V'_k , and

$$\text{dist}(\gamma, f_k[B_{f_k} \cap V_{jk}] \cup \partial V'_k) \geq \lambda|y_k - z_k|/2$$

for all $1 \leq j \leq N$. Since

$$V'_k \cap f_k[B_{f_k} \cap U_k] = \bigcup_{j=1}^N f_k[B_{f_k} \cap V_{jk}],$$

we obtain $\text{dist}(\gamma, \partial G_k) \geq \lambda|y_k - z_k|/2$. Since

$$\text{diam } \gamma \leq \text{diam } V'_k = (1 + 2q)|y_k - z_k| < 2|y_k - z_k|,$$

it follows from (ii) that

$$k \text{ dist}(\gamma, \partial G_k) \leq \delta_{G_k}(y_k) \wedge \delta_{G_k}(z_k) \leq |y_k - z_k|,$$

where the second inequality follows from the property $r_{G_k}(y_k, z_k) \geq 1$ of a c -pair; see 3.7. Hence

$$k\lambda|y_k - z_k|/2 \leq |y_k - z_k|,$$

which gives a contradiction for large k .

4. Invariance of NUD and porous sets

4.1. *Terminology.* A closed set $F \subset \mathbf{R}^n$ is a c -nullset for uniform domains or briefly c -NUD if $\text{int } F = \emptyset$ and if $\mathbf{R}^n \setminus F$ is a c -uniform domain.

Let $D \subset \mathbf{R}^n$ be a domain, let $a, b \in \overline{D}$ and let $c \geq 1$. We say that a continuum $\alpha \subset \overline{D}$ containing a and b satisfies the c -uniformity conditions in D if

$$\text{diam } \alpha \leq c|a - b|, \quad |x - a| \wedge |x - b| \leq c \text{ dist}(x, \partial D)$$

for all $x \in \alpha$. This implies that $\alpha \cap \partial D \subset \{a, b\}$.

If D is c -uniform, it follows from the definition in 3.1 that each pair of distinct points $a, b \in D$ can be joined by an arc satisfying the c -uniformity conditions in D . A simple limiting process involving Ascoli's theorem shows that this is true for all $a, b \in \overline{D}$.

Conversely, if each pair of points in a domain D can be joined by a continuum with the c -uniformity properties in D , then D is c' -uniform with $c' = c'(c, n)$; see [V4, 2.11].

Suppose that $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is K -quasiregular with $N(f) \leq N < \infty$. Then B_f and fB_f are c_0 -NUD with $c_0 = c_0(N, K, n)$ by 3.11 and 3.16. In this section we show that if $F \subset \mathbf{R}^n$ is c -NUD, then fF and $f^{-1}F$ are c' -NUD with $c' = c'(c, N, K, n)$. Similar results hold for porosity.

4.2. Lemma. *Suppose that $f: B^n \rightarrow \mathbf{R}^n$ is a K -quasiregular local homeomorphism with $N(f) \leq N < \infty$. Then f is injective in a ball $B^n(\psi)$ with $\psi = \psi(N, K, n) > 0$.*

Proof. For $n \geq 3$, [MRV3, 2.3] gives the stronger result where $\psi = \psi(K, n)$. For $n = 2$, one can make use of factorization and basic properties of quasiconformal maps to reduce the question to the case where f is complex analytic. This case follows from the results of C. Pommerenke [Po1, Satz 1.3 and Lemma 1.3].

We give an alternative proof, based on Theorem 2.4, which is valid for all dimensions $n \geq 2$. We may assume that $f(0) = 0$. With the notation of 3.1, we let $r_0(f)$ be the supremum of all $r > 0$ such that $U(0, f, r) \subset B^n(1/2)$. Clearly $0 < r_0(f) < \infty$. Replacing f by $f/r_0(f)$ we may assume that $r_0(f) = 1$. For $0 < r < 1$, f maps $U(0, f, r)$ homeomorphically onto $B^n(r)$ by [MRV3, 2.2]. It follows that f maps $V(f) = \bigcup\{U(0, f, r) : r < 1\}$ onto B^n and that $V(f) = U(0, f, 1)$. Hence it suffices to find $\psi = \psi(N, K, n) > 0$ such that $B^n(\psi) \subset V(f)$.

Let $\mathcal{F} = \mathcal{F}(N, K, n)$ be the family of all K -quasiregular local homeomorphisms $g: B^n \rightarrow \mathbf{R}^n$ such that $N(g) \leq N$, $g(0) = 0$, and $r_0(g) = 1$. Then \mathcal{F} satisfies the conditions of Theorem 2.4 with $r = 1/2$, $R = 1$, $r' = 1$, $A(g) = \overline{V(g)}$. Indeed, g is injective in $A(g)$ by [MRV3, 2.2], and hence in a neighborhood of $A(g)$ by [Zo, p. 422]. By the definition of $r_0(g)$, the continuum $A(g)$ meets $S^{n-1}(1/2)$.

By Theorem 2.4, \mathcal{F} is a normal family and hence equicontinuous. Consequently, there is $\psi > 0$ such that $gB^n(\psi) \subset B^n(1/2)$ for all $g \in \mathcal{F}$. This implies that $B^n(\psi) \subset V(g)$, and the lemma is proved.

4.4. Theorem. *Suppose that $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is K -quasiregular with $N(f) \leq N < \infty$, and that $F \subset \mathbf{R}^n$ is c -NUD. Then $f^{-1}F$ is c' -NUD with $c' = c'(c, N, K, n)$.*

Proof. Let $a, b \in \mathbf{R}^n$, $a \neq b$. Write $\lambda(x) = |x - a| \wedge |x - b|$. By 4.1, it suffices to find a continuum β containing a and b such that

$$(4.5) \quad \text{diam } \beta \leq c'|a - b|, \quad \lambda(x) \leq c' \text{dist}(x, f^{-1}F)$$

for all $x \in \beta$.

The set B_f is c_0 -NUD with $c_0 = c_0(N, K, n)$ by 3.11. By 4.1, we can join a and b by an arc α such that

$$(4.6) \quad \text{diam } \alpha \leq c_0|a - b|, \quad \lambda(x) \leq c_0 \text{dist}(x, B_f)$$

for all $x \in \alpha$.

Let $\psi = \psi(N, K, n)$ be the number given by 4.2, and set $q = q(N, K, n) = \psi/6c_0$. Then $q \leq 1/6$. Orient α from a to b . Pick $x_0 \in \alpha$ with $|x_0 - a| = |x_0 - b|$. Define a sequence of successive points x_0, x_1, \dots of α such that x_{j+1} is the last point of α with $|x_{j+1} - x_j| = q\lambda(x_j)$. Similarly, define x_{-1}, x_{-2}, \dots such that x_{-j-1} is the first point of α with $|x_{-j-1} - x_{-j}| = q\lambda(x_{-j})$, $j \geq 0$. The sequence x_1, x_2, \dots converges to a point $b' \in \alpha$. Since $q\lambda(x_j) = |x_j - x_{j+1}| \rightarrow 0$, we have $b' = b$. Similarly $x_j \rightarrow a$ as $j \rightarrow -\infty$. Since $q \leq 1/6$, we easily see that

$$(4.7) \quad \frac{5}{6}\lambda(x_{j-1}) \leq \lambda(x_j) \leq \frac{6}{5}\lambda(x_{j-1}), \quad \{x_{j-1}, x_{j+1}\} \subset \overline{B}(x_j, \frac{6}{5}q\lambda(x_j))$$

for all $j \in \mathbf{Z}$.

From (4.6) it follows that $\text{dist}(x_j, B_f) \geq \lambda(x_j)/c_0$ for all $j \in \mathbf{Z}$. By 4.2, $f|B(x_j, r)$ is injective, where $r = \psi\lambda(x_j)/c_0 = 6q\lambda(x_j)$. By [V3, 2.4], f is η -quasisymmetric in $B(x_j, 3q\lambda(x_j))$ with $\eta = \eta_{K,n}$. We let c_1, c_2, \dots denote constants $c_j \geq 1$ depending only on (c, K, n) .

Fact 1. If $0 < t \leq 3q\lambda(x_j)$, then $B(x_j, t) \setminus f^{-1}F$ is a c_1 -uniform domain.

Since uniformity is quantitatively preserved by quasisymmetric maps, the domain $fB(x_j, t)$ is c_2 -uniform. Since F is c -NUD, the domain $fB(x_j, t) \setminus F$ is c_3 -uniform by [V4, 5.4], and Fact 1 follows by quasisymmetry.

By (4.7) and Fact 1, we can join x_{j-1} and x_j by an arc α_j satisfying the c_1 -uniformity conditions in $B(x_{j-1}, \frac{6}{5}q\lambda(x_{j-1})) \setminus f^{-1}F$. Then $\alpha_j \subset B(x_j, r)$ with

$$r = |x_{j-1} - x_j| + \frac{6}{5}q\lambda(x_{j-1}) \leq \frac{6}{5}q\lambda(x_j) + (\frac{6}{5})^2q\lambda(x_j) < 3q\lambda(x_j).$$

Pick $y_j \in \alpha_j$ with $|y_j - x_{j-1}| = |y_j - x_j|$. Then $\{y_j, y_{j+1}\} \subset B(x_j, 3q\lambda(x_j))$. By Fact 1, we can thus join y_j and y_{j+1} by an arc β_j satisfying the c_1 -uniformity conditions in the domain $G_j = B(x_j, 3q\lambda(x_j)) \setminus f^{-1}F$. Let β be the union of $\{a, b\}$ and all continua β_j , $j \in \mathbf{Z}$. We show that β is the desired continuum.

Since $\text{diam } \beta_j \leq 6q\lambda(x_j) \rightarrow 0$ as $j \rightarrow \pm\infty$, β is indeed a continuum. If $x \in \beta_j$, then

$$\text{dist}(x, \alpha) \leq |x - x_j| < 3q\lambda(x_j) \leq \frac{1}{2}\text{diam } \alpha.$$

This and (4.6) yield the first inequality of (4.5) with $c' = 2c_0$.

To prove the second inequality, assume that $x \in \beta_j$. Then

$$(4.8) \quad \lambda(x) \leq \lambda(x_j) + |x - x_j| \leq \lambda(x_j) + 3q\lambda(x_j) \leq \frac{3}{2}\lambda(x_j).$$

If $j \geq 1$, then G_j contains the ball $B(y_j, t)$ with

$$t = |y_j - x_j|/c_1 \geq |x_{j-1} - x_j|/2c_1 = q\lambda(x_{j-1})/2c_1 > q\lambda(x_j)/3c_1$$

by (4.7). Moreover, G_j contains $B(y_{j+1}, t')$ with

$$t' = |y_{j+1} - x_j|/c_1 \geq |x_j - x_{j+1}|/2c_1 = q\lambda(x_j)/2c_1 > q\lambda(x_j)/3c_1.$$

Similar arguments show that these estimates hold also for $j \leq 0$. By the choice of β_j we thus obtain $\text{dist}(x, f^{-1}F) \geq q\lambda(x_j)/6c_1^2$. By (4.8), this gives the second inequality of (4.5) with $c' = 9c_1^2/q$.

4.9. Lemma. *Suppose that $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is K -quasiregular with $N(f) = N < \infty$, and that $V \subset \mathbf{R}^n \setminus fB_f$ is a simply connected c -uniform domain. Then $f^{-1}V$ has precisely N components V_1, \dots, V_N , and f defines η -quasisymmetric homeomorphisms $f_j: V_j \rightarrow V$, $1 \leq j \leq N$, with η depending only on c, N, K, n . Moreover, the domains V_j are c' -uniform with $c' = c'(c, N, K, n)$.*

Proof. The map f is closed and proper by 3.1. Arguing as in [MRV3, 2.2] we see that for each component V_j of $f^{-1}V$, f defines a covering map $f_j: V_j \rightarrow V$. Since V is simply connected, f_j is a homeomorphism. To prove the quasisymmetry of f_j , we consider a triple (x, y, z) of distinct points in V_j with $|x - y| \leq |x - z|$. By [V5, 2.9], it suffices to show that

$$(4.10) \quad |f(x) - f(y)| \leq H|f(x) - f(z)|$$

with $H = H(c, N, K, n)$.

Since V is c -uniform, there is an arc $\alpha \subset V$ joining $f(x)$ and $f(z)$ such that $\text{diam} \alpha \leq c|f(x) - f(z)|$. We may assume that $|f(x) - f(y)| > c|f(x) - f(z)|$. Define $\beta: [1, \infty) \rightarrow \mathbf{R}^n$ by $\beta(t) = f(x) + t(f(y) - f(x))$. Let β^* be a maximal lift of β , starting at y . Then β^* is unbounded. Let Γ be the family of all paths joining $\alpha^* = f_j^{-1}\alpha$ and $|\beta^*|$. Since $|x - y| \leq |x - z|$, a standard estimate gives the lower bound $M(\Gamma) \geq b_n > 0$. Since $\alpha \subset \overline{B}(f(x), c|f(x) - f(z)|)$, we have

$$M(f\Gamma) \leq \omega_{n-1} \left(\log \frac{|f(x) - f(y)|}{c|f(x) - f(z)|} \right)^{1-n}.$$

Since $M(\Gamma) \leq KNM(f\Gamma)$, these estimates yield (4.10). Hence $f|_{V_j}$ is η -quasisymmetric, and the rest of the lemma follows from the quasisymmetric invariance of uniform domains.

4.11. Lemma. *Suppose that $D \subset G \subset \mathbf{R}^n$ are domains, that F is closed in G with $\text{int} F = \emptyset$, and that D and $G \setminus F$ are c -uniform domains. Then $D \setminus F$ is a c_1 -uniform domain with $c_1 = c_1(c, n)$.*

Proof. The case $G = \mathbf{R}^n$ was proved in [V4, 5.4], but the same proof is valid in the general case.

4.12. Corollary. *Suppose that $D \subset \mathbf{R}^n$ is a domain, that F_1, \dots, F_N are closed in D with $\text{int } F_j = \emptyset$, and that each $D \setminus F_j$ is a c -uniform domain. Then $D \setminus \bigcup_j F_j$ is a c_1 -uniform domain with $c_1 = c_1(c, N, n)$.*

4.13. Theorem. *Suppose that $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is K -quasiregular with $N(f) = N < \infty$, and that $F \subset \mathbf{R}^n$ is c -NUD. Then fF is c' -NUD with $c' = c'(c, N, K, n)$.*

Proof. The basic idea is the same as in 4.4 and in [V4, 5.4]. Let $a, b \in \mathbf{R}^n$, $a \neq b$. Since fB_f is c_0 -NUD with $c_0 = c_0(N, K, n)$ by 3.16, there is an arc α joining a and b such that

$$\text{diam } \alpha \leq c_0|a - b|, \quad \lambda(x) \leq c_0 \text{dist}(x, fB_f)$$

for all $x \in \alpha$, where $\lambda(x) = |x - a| \wedge |x - b|$ as in 4.4. Let c_1, c_2, \dots denote constants $c_j \geq 1$ depending only on (c, N, K, n) , and set $q = 1/6c_0$. Choose $x_0 \in \alpha$ with $|x_0 - a| = |x_0 - b|$, and define the points $x_j \in \alpha$, $j \in \mathbf{Z}$, as in the proof of 4.4. Then (4.7) is again valid for all $j \in \mathbf{Z}$.

Fact 1. If $0 < t \leq 6q\lambda(x_j)$, then $B(x_j, t) \setminus fF$ is a c_1 -uniform domain.

By 4.9, the set $f^{-1}B(x_j, t)$ has N components V_{j1}, \dots, V_{jN} , and each V_{jk} is a c_2 -uniform domain. Moreover, $f|V_{jk}$ is an η -quasisymmetric homeomorphism onto $B(x_j, t)$ with $\eta = \eta_{N, K, n}$. Since F is c -NUD, each $V_{jk} \setminus F$ is c_3 -uniform. Hence $f[V_{jk} \setminus F] = B(x_j, t) \setminus f[F \cap V_{jk}]$ is c_4 -uniform by quasisymmetry. Fact 1 follows now from 4.12.

The proof can now be completed as in 4.4. The points x_{j-1} and x_j are joined by an arc α_j satisfying the c_1 -uniformity conditions in $B(x_{j-1}, \frac{6}{5}\lambda(x_{j-1})) \setminus fF$. Then choose $y_j \in \alpha_j$ with $|y_j - x_{j-1}| = |y_j - x_j|$ and join y_j to y_{j+1} by an arc β_j satisfying the c_1 -uniformity conditions in $B(x_j, 3\lambda(x_j)) \setminus fF$. The desired continuum β from a to b is then obtained as the union of all β_j and of $\{a, b\}$.

4.14. Corollary. *If $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is K -quasiregular with $N(f) \leq N < \infty$, then $f^{-1}fB_f$ is c -NUD with $c = c(N, K, n)$.*

Proof. This follows from 3.16 and 4.13.

4.15. Theorem. *Suppose that $f: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is a K -quasiregular map with $N(f) \leq N < \infty$, and that $F \subset \mathbf{R}^n$ is c -porous. Then fF and $f^{-1}F$ are c_1 -porous with $c_1 = c_1(c, N, K, n)$.*

Proof. The idea of the proof is somewhat similar to that in 4.4 and in 4.13, but the present case is much easier. The proofs make use of the porosity of B_f and fB_f , the quasisymmetric invariance of plumpness, and the fact that the union of two porous sets is porous. The details are omitted.

5. The branch set and the local index

Let $f: D \rightarrow \mathbf{R}^n$ be a K -quasiregular map, $n \geq 3$, and let $a \in B_f$. In [MRV3, 4.4] it was proved that if $D \setminus B_f$ contains an open cone with vertex at a and opening angle α , then the local index $i(a, f)$ has an upper bound $i(a, f) \leq N(\alpha, K, n)$. See also [S1, 3.4 and 4.3].

The direct converse of this result is false. In 5.4 below we give an example of a quasiregular map $f: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ with $N(f) = 2$ such that B_f meets every half open line segment $(0, y]$, $y \neq 0$.

However, we show that replacing the cone by a curvilinear cone we obtain a condition that is both necessary and sufficient for the existence of such a bound for the local index. This means that we can estimate $i(a, f)$ from above and from below purely in terms of K , n and B_f ; see 5.2.

5.1. Theorem. *Suppose that $f: D \rightarrow \mathbf{R}^n$ is K -quasiregular and nonconstant with $n \geq 3$. Let $a \in D$, $N \geq 2$, and $c \geq 1$. Then the following conditions are (K, n) -quantitatively equivalent:*

- (1) $i(a, f) \leq N$,
- (2) there is an arc $\gamma \subset D$ with a as an endpoint such that $|x - a| \leq c \operatorname{dist}(x, B_f)$ for all $x \in \gamma$.

More precisely, (1) implies (2) with $c = c(N, K, n)$, and (2) implies (1) with $N = N(c, K, n)$.

Proof. (1) \Rightarrow (2): Choose a ball $B = B(a, r) \subset D$ with $N(f|_B) \leq N$. The domain $G = B \setminus B_f$ is c_0 -uniform with $c_0 = c_0(N, K, n)$ by 3.11. Fix a point $b \in G$. By 4.1, we can join a and b by an arc α satisfying the c -uniformity conditions in $B \setminus B_f$. Let γ be a subarc of α with endpoint a and contained in $B(a, |a - b|/2)$. Then γ satisfies (2).

(2) \Rightarrow (1): By [MRV3, 5.2], there is a number $M = M(K, n) > 1$ such that for all $x \in D$ we have $\limsup_{r \rightarrow 0} H^*(x, f, r) < M$, where $H^*(x, f, r) = L^*(x, f, r)/l^*(x, f, r)$ and L^* and l^* are defined as in the proof of 4.2. Assume that (2) does not imply (1) for some (c, N, K, n) . Then there is a sequence of K -quasiregular maps $f_k: B^n(2M) \rightarrow \mathbf{R}^n$ with the following properties:

- (i) $f_k^{-1}\{0\} = \{0\}$,
- (ii) $i(0, f_k) \geq k$,
- (iii) $U_k = U(0, f_k, 1)$ is a normal neighborhood of 0,
- (iv) $H^*(0, f_k, 1) < M$,
- (v) $e_1 \in \partial U_k$, and there is an arc $\gamma_k \subset \bar{U}_k$ joining 0 and e_1 such that $\operatorname{dist}(x, B_{f_k}) \geq |x|/c$ for all $x \in \gamma_k$,
- (vi) $f_k(e_1) = e_1$.

From (iv) and (vi) it follows that $B^n(1/M) \subset U_k \subset B^n(M)$. Passing to a subsequence we may assume that the sequence (γ_k) converges to a continuum F in the Hausdorff metric of all nonempty compact subsets of $\bar{B}^n(M)$. Then $\{0, e_1\} \subset F \subset \bar{B}^n(M)$. Let $\psi = \psi(K, n)$ be the local injectivity number given by

[MRV3, 2.3], and let D be the union of $B^n(1/M)$ and the balls $B(x, \psi|x|/2c)$ over $x \in F \setminus B^n(1/M)$. Since F is connected, D is a domain. Moreover, $D \subset B^n(2M)$, and we can define the maps $g_k = f_k | D$.

We show that the sequence (g_k) is equicontinuous. Since $B^n(1/M) \subset U_k$, we have $|f_k(x)| < 1$ for all $|x| < 1/M$ and for all k . Hence (g_k) is equicontinuous in $B^n(1/M)$ by [MRV2, 3.17] or by [Re, p. 220]. Suppose that $x \in F \setminus B^n(1/M)$. For each $y \in \gamma_k \setminus \{0\}$, f_k is injective in $B(y, \psi|y|/c)$ by (v) and by the choice of ψ . Since $\gamma_k \rightarrow F$, the maps $f_k | B(x, \psi|x|/2c)$ are injective for large k . Since they omit 0 and ∞ by (i), it follows from [V2, 19.3] that (g_k) is equicontinuous in $B(x, \psi|x|/2c)$, and hence in D .

Passing to a subsequence we may assume that (g_k) converges locally uniformly to a K -quasiregular map $g: D \rightarrow \mathbf{R}^n$. Since $g(0) = 0$ and $g(e_1) = e_1$, g is nonconstant. By [MRV3, 4.5], $i(0, g) \geq \limsup_{k \rightarrow \infty} i(0, g_k)$. This contradicts (ii) and completes the proof.

We give a slightly different formulation of Theorem 5.1.

5.2. Theorem. *Suppose that $f: D \rightarrow \mathbf{R}^n$ is K -quasiregular and nonconstant with $n \geq 3$. For $a \in D$, let $u = u(a, B_f)$ denote the infimum of all $c \geq 1$ satisfying condition (2) of Theorem 5.1. Then*

$$N_1(u, K, n) \leq i(a, f) \leq N_2(u, K, n) < \infty,$$

where $N_1(u, K, n) \rightarrow \infty$ as $u \rightarrow \infty$.

Proof. Let $c(N, K, n)$ and $N(c, K, n)$ be the functions given by 5.1. The second inequality of 5.2 holds, for example, with $N_2(u, K, n) = N(u + 1, K, n)$.

If (K, n) is a pair such that $i(a, f)$ is bounded by a number $M(K, n)$ for all f and a , then $u \leq c(M(K, n), K, n)$, and the first inequality of 5.2 is an empty condition. Assume that (K, n) is a pair such that $i(a, f)$ may have arbitrarily large values. Such pairs exist for all $n \geq 3$ by [MRV3, 4.9]. By 5.1, $c(N, K, n) \rightarrow \infty$ as $N \rightarrow \infty$. For $t \geq 1$, let $N_1(t, K, n)$ be the maximum of all integers m such that $c(m, K, n) < t$, with $N_1(t, K, n) = 1$ if there are no such integers. From 5.1 it follows that the theorem holds with this function N_1 .

5.3. Open problem. Is it possible to replace the arc in 5.1 by a sequence of points converging to a ? More precisely, suppose that (x_j) is a sequence of points in $D \setminus B_f$ converging to a such that $|x_j - a| \leq c \operatorname{dist}(x_j, B_f)$ for all j . Is $i(a, f)$ bounded by a constant $N(c, K, n)$?

5.4. Theorem. *There is a quasiregular map $f: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ with $N(f) = 2$ such that B_f meets $(0, y]$ for each $y \in \mathbf{R}^3 \setminus \{0\}$.*

Proof. The theorem follows from Lemmas 5.5 and 5.11 below.

5.5. **Lemma.** Let $Z \subset \mathbf{R}^3$ be the line span (e_3) , and let $g: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ be a K -quasiconformal map. Then there is a $4K$ -quasiregular map $f: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ with $N(f) = 2$ such that $B_f = gZ$.

Proof. Let $w: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ be the 4-quasiregular winding map, defined by $w(r, \varphi, z) = (r, 2\varphi, z)$ in the cylindrical coordinates. Then $f = w \circ g^{-1}$ is the desired map.

5.6. *Remark.* There is also a map $f: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ such that $B_f = fB_f = gZ$ and such that f is of L -bounded length distortion with $L = L(K)$. See [MV, 4.27].

If $Q \subset \mathbf{R}^3$ is a closed ball of radius r and if $t > 0$, we let $Q(t)$ denote the concentric ball with radius tr .

5.7. **Lemma.** There is $t \in (1/2, 1)$ and a finite family \mathcal{B} of disjoint closed balls in $B^3 \setminus \bar{B}^3(t)$ such that if $R \subset \mathbf{R}^3$ is a ray from a point in $\bar{B}^3(t)$, then R meets $Q(t)$ for some $Q \in \mathcal{B}$.

Proof. A construction for the corresponding result in \mathbf{R}^2 is given in Figure 1 with $t = 9/10$. The construction in \mathbf{R}^3 is rather similar but somewhat more complicated. We omit the details.

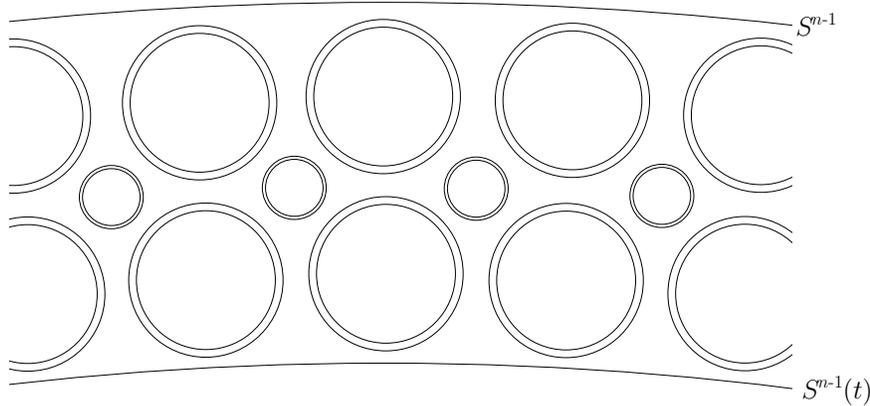


Figure 1

5.8. *The Cantor set C .* By a *parallel similarity* we mean a map $f: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ of the form $f(x) = \lambda x + b$, $\lambda > 0$, $b \in \mathbf{R}^3$. Let $t > 0$ and $\mathcal{B} = \{Q_1, \dots, Q_m\}$ be the number and the family of balls given by 5.7. For $1 \leq j \leq m$, let β_j be the parallel similarity with $\beta_j \bar{B}^3 = Q_j$. These maps define in the familiar way a self similar Cantor set C . More precisely, C is the intersection of the descending sequence of compact sets C_k , where $C_1 = Q_1 \cup \dots \cup Q_m$, C_2 is the union of the balls $\beta_i Q_j$, etc.

5.9. **Lemma.** Let $R \subset \mathbf{R}^3$ be a ray from a point in $\bar{B}^3(t)$. Then R meets C .

Proof. Let $k \in \mathbf{N}$. It suffices to show that R meets C_k . For $k = 1$ this follows from 5.7. In fact, R meets $Q_j(t)$ for some j . Hence $\beta_j^{-1}R$ meets $\bar{B}^3(t)$. By 5.7, there is i such that $\beta_j^{-1}R$ meets $Q_i(t)$. It follows that R meets $\beta_j Q_i(t) \subset C_2$. Proceeding inductively in this manner we obtain the lemma.

5.10. Lemma. *There is a quasiconformal map $h: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ such that $h(x) = x$ for $|x| \leq t$ and for $|x| \geq 1$, and such that $h[te_3, e_3]$ contains C .*

Proof. Choose disjoint closed balls A_1, \dots, A_m in $B^3 \setminus \bar{B}^3(t)$ with centers on the line segment $[te_3, e_3]$. For each $j = 1, \dots, m$, let α_j be the parallel similarity with $\alpha_j \bar{B}^3 = A_j$. Choose a homeomorphism $h_1: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ such that

$$\begin{aligned} h_1(x) &= x \text{ for } |x| \leq t \text{ and for } |x| \geq 1, \\ h_1(x) &= \beta_j \alpha_j^{-1}(x) \text{ for } x \in A_j, 1 \leq j \leq m, \end{aligned}$$

and such that h_1 is K -quasiconformal with some K . Next define $h_2: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ by $h_2 = h_1$ outside the balls A_j , and by $h_2 = \beta_j h_1 \alpha_j^{-1}$ in A_j . Iterating the construction in the natural way we obtain a sequence (h_k) of K -quasiconformal maps converging to a K -quasiconformal map $h: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ with the desired properties.

5.11. Lemma. *There is a quasiconformal map $g: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ such that gZ meets every line segment $(0, y]$, $y \neq 0$.*

Proof. We set $g(x) = x$ if $x = 0$ or if $|x| \geq 1$. Let $0 < |x| < 1$. Then $t^{k+1} \leq |x| < t^k$ for a unique integer $k \geq 0$, and we set $g(x) = t^k h(x/t^k)$, where h is given by 5.10. Then g is clearly a K -quasiconformal homeomorphism. From 5.9 and 5.10 it follows that $g[te_3, e_3]$ meets $[te, e]$ for every $e \in S^2$. By construction, $g[0, e_3]$ meets every $(0, y]$.

5.12. Remarks. 1. If $g: \mathbf{R}^3 \rightarrow \mathbf{R}^3$ is bilipschitz, then the Hausdorff dimension of gZ is 1, and hence the set of all $e \in S^2$ with $(0, e] \cap gZ \neq \emptyset$ is of area zero. However, there is a bilipschitz map g such that for every $r > 0$, gZ meets $B^3(r) \cap V$ for each open cone V with vertex at 0; see [LV, 4.11].

2. On the other hand, the map f of 5.4 can be chosen to be of bounded length distortion in view of Remark 5.6.

3. Similar examples exist in \mathbf{R}^n for all $n \geq 3$.

We finally give a result in a direction converse to the cone theorem [MRV3, 4.4]. For $y \in S^{n-1}$ and $0 \leq \alpha \leq \pi/2$, let $C(y, \alpha)$ denote the open cone $\{x \in \mathbf{R}^n : x \cdot y > |x| \cos \alpha\}$.

5.13. Theorem. *Suppose that $n \geq 3$, that $f: B^n \rightarrow \mathbf{R}^n$ is a nonconstant K -quasiregular map with $f(0) = 0$, and that $0 < \alpha < 1/2$. If for some $r_0 > 0$,*

$$B_f \cap C(y, \alpha) \cap B^n(r) \setminus B^n((1 - \alpha)r) \neq \emptyset$$

for all $y \in S^{n-1}$ and for all $r \in (0, r_0]$, then $i(0, f) \geq N_1(\alpha, K, n)$, where $N_1(\alpha, K, n) \rightarrow \infty$ as $\alpha \rightarrow 0$.

Proof. This follows easily either from 3.4 or from 5.2.

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