

# COMPACT EMBEDDINGS FOR SOBOLEV SPACES OF VARIABLE EXPONENTS AND EXISTENCE OF SOLUTIONS FOR NONLINEAR ELLIPTIC PROBLEMS INVOLVING THE $p(x)$ -LAPLACIAN AND ITS CRITICAL EXPONENT

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**Abstract.** We give a sufficient condition for the compact embedding from  $W_0^{k,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  in case  $\text{ess inf}_{x \in \Omega} (Np(x)/(N - kp(x)) - q(x)) = 0$ , where  $\Omega$  is a bounded open set in  $\mathbf{R}^N$ . As an application, we find a nontrivial nonnegative weak solution of the nonlinear elliptic equation

$$-\text{div} \left( |\nabla u(x)|^{p(x)-2} \nabla u(x) \right) = |u(x)|^{q(x)-2} u(x) \quad \text{in } \Omega, \quad u(x) = 0 \quad \text{on } \partial\Omega.$$

We also consider the existence of a weak solution to the problem above even if the embedding is not compact.

## 1. Introduction

In recent years, many authors have studied the generalized Lebesgue spaces; see [2, 5, 8–23, 26–29, 32]. First, let us recall some definitions. Following Orlicz [29] and Kováčik and Rákosník [22], for an open set  $\Omega$  in  $\mathbf{R}^N$  with  $N \geq 1$  and a measurable function  $p(\cdot): \Omega \rightarrow [1, \infty)$ , we define the  $L^{p(\cdot)}(\Omega)$ -norm of a measurable function  $f$  on  $\Omega$  by

$$\|f\|_{L^{p(\cdot)}(\Omega)} = \inf \left\{ \lambda > 0 : \int_{\Omega} \left| \frac{f(x)}{\lambda} \right|^{p(x)} dx \leq 1 \right\}$$

and denote by  $L^{p(\cdot)}(\Omega)$  the family of all measurable functions whose  $L^{p(\cdot)}(\Omega)$ -norms are finite. Further we denote by  $W^{k,p(\cdot)}(\Omega)$  with  $k \in \mathbf{N}$  the family of all measurable functions  $u$  on  $\Omega$  such that

$$\|u\|_{W^{k,p(\cdot)}(\Omega)} = \sum_{0 \leq |\alpha| \leq k} \|D^\alpha u\|_{L^{p(\cdot)}(\Omega)} < \infty$$

and by  $W_0^{k,p(\cdot)}(\Omega)$  the closure of  $C_0^\infty(\Omega)$  in  $W^{k,p(\cdot)}(\Omega)$ .

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Recently, Kurata and the fourth author [23] posed the following problem: if a variable exponent  $q(\cdot)$  satisfies  $2 < \operatorname{ess\,inf}_{x \in \Omega} q(x) \leq \operatorname{ess\,sup}_{x \in \Omega} q(x) \leq 2N/(N-2)$  ( $N \geq 3$ ) and  $q(\cdot)$  is equal to  $2N/(N-2)$  at a point, then does the problem

$$(1.1) \quad -\Delta u(x) = |u(x)|^{q(x)-2}u(x) \quad \text{in } \Omega \quad \text{and} \quad u(x) = 0 \quad \text{on } \partial\Omega$$

have a positive solution? When  $q(\cdot)$  is a constant, problem (1.1) has been studied by many researchers. If  $q(\cdot)$  is a constant smaller than  $2N/(N-2)$ , then the embedding from  $W_0^{1,2}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is compact, and hence the existence of a positive solution to (1.1) is easily obtained by the standard mountain pass theorem. When  $q(\cdot) \equiv 2N/(N-2)$ , problem (1.1) is quite interesting. If  $\Omega$  is star-shaped, then Pohozaev [31] showed that there is no solution. If  $\Omega$  has a nontrivial topology in the sense of  $\mathbf{Z}_2$ -homology, then Bahri and Coron [3] showed that the problem has a positive solution; see also [7]. Even if  $\Omega$  is contractible, then, under some condition on the shape of  $\Omega$ , Passaseo [30] obtained a positive solution. In the case when  $q(\cdot)$  is a variable exponent and  $q(\cdot)$  coincides with  $2N/(N-2)$  at a point in  $\Omega$ , since the embedding of  $W_0^{1,2}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  may not be compact, the existence of positive solution to (1.1) is not trivial. Kurata and the fourth author showed that if there exist  $x_0 \in \Omega$ ,  $C_0 > 0$ ,  $\eta > 0$  and  $0 < l < 1$  such that  $\operatorname{ess\,sup}_{x \in \Omega \setminus B_\eta(x_0)} q(x) < 2N/(N-2)$  and

$$(1.2) \quad q(x) \leq \frac{2N}{N-2} - \frac{C_0}{(\log(1/|x-x_0|))^l} \quad \text{for almost every } x \in \Omega \cap B_\eta(x_0),$$

then the embedding from  $W_0^{1,2}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is compact; see [23, Theorem 2]. As an application of the compact embedding, they obtained a positive solution to (1.1).

Our first aim in this paper is to establish the compact embedding from  $W_0^{k,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  when  $q(\cdot)$  is an exponent satisfying a condition weaker than (1.2). As an application, we show the existence of a nontrivial nonnegative weak solution to the nonlinear elliptic equation

$$(1.3) \quad \begin{cases} -\operatorname{div}(|\nabla u(x)|^{p(x)-2}\nabla u(x)) = |u(x)|^{q(x)-2}u(x) & \text{in } \Omega, \\ u(x) = 0 & \text{on } \partial\Omega. \end{cases}$$

Here  $u$  is called a weak solution of (1.3) if  $u \in W_0^{1,p(\cdot)}(\Omega)$  and

$$\int_{\Omega} (|\nabla u(x)|^{p(x)-2}\nabla u(x)\nabla v(x) - |u(x)|^{q(x)-2}u(x)v(x)) \, dx = 0$$

for all  $v \in W_0^{1,p(\cdot)}(\Omega)$ . Our final goal is to find nontrivial nonnegative weak solutions to (1.3), even if the embedding might not be compact.

## 2. Preliminaries

Throughout this paper, we use the symbol  $C$  to denote various positive constants independent of the variables in question. We only use  $N$  as the dimension of the Euclidean space  $\mathbf{R}^N$  and we set  $B_r(x) = \{y \in \mathbf{R}^N : |y-x| < r\}$  for  $x \in \mathbf{R}^N$  and  $r > 0$ . For a measurable subset  $E$  of  $\mathbf{R}^N$ , we denote by  $|E|$  the Lebesgue measure of  $E$ . For a measurable function  $u$ , we set  $u^+ = \max\{u, 0\}$ . Unless otherwise stated, we assume that  $N \geq 2$  and  $\Omega$  is a bounded open set in  $\mathbf{R}^N$ .

A measurable function  $p(\cdot): \Omega \rightarrow [1, \infty)$  is called a variable exponent on  $\Omega$ . We set

$$p_* = \operatorname{ess\,inf}_{x \in \Omega} p(x) \quad \text{and} \quad p^* = \operatorname{ess\,sup}_{x \in \Omega} p(x).$$

It is worth noting the next result, which follows readily from the definition of  $L^{p(\cdot)}$ -norm (see [17, Theorem 1.3]).

**Lemma 2.1.** *If  $p(\cdot)$  is a variable exponent on  $\Omega$  satisfying  $1 \leq p_* \leq p^* < \infty$ , then*

$$\min \left\{ \|u\|_{L^{p(\cdot)}(\Omega)}^{p_*}, \|u\|_{L^{p(\cdot)}(\Omega)}^{p^*} \right\} \leq \int_{\Omega} |u(x)|^{p(x)} dx \leq \max \left\{ \|u\|_{L^{p(\cdot)}(\Omega)}^{p_*}, \|u\|_{L^{p(\cdot)}(\Omega)}^{p^*} \right\}.$$

A variable exponent  $p(\cdot)$  is said to satisfy the log-Hölder condition on  $\Omega$  if

$$|p(x) - p(y)| \leq \frac{C}{\log(1/|x - y|)} \quad \text{for each } x, y \in \Omega \text{ with } |x - y| < \frac{1}{2},$$

where  $C$  is a positive constant. We set

$$p_k^\sharp(x) = \begin{cases} Np(x)/(N - kp(x)) & \text{if } 1 \leq p(x) < N/k, \\ \infty & \text{if } p(x) \geq N/k \end{cases}$$

for each  $k \in \mathbf{N}$ .

We know the following Sobolev inequality for functions in  $W_0^{1,p(\cdot)}(\Omega)$ ; see [20, Proposition 4.2 (1)].

**Lemma 2.2.** *Let  $p(\cdot)$  be a variable exponent on  $\Omega$  satisfying the log-Hölder condition and  $1 \leq p_* \leq p^* < \infty$ . If  $p^* < N$ , then there exists a constant  $C > 0$  such that*

$$\|u\|_{L^{p_1^\sharp(\cdot)}(\Omega)} \leq C \|\nabla u\|_{L^{p(\cdot)}(\Omega)}$$

for  $u \in W_0^{1,p(\cdot)}(\Omega)$ .

**Corollary 2.3.** *Let  $p(\cdot)$  be as in the previous lemma. If  $p^* < N/k$  with  $k \in \mathbf{N}$ , then there exists a constant  $C > 0$  such that*

$$\|u\|_{L^{p_k^\sharp(\cdot)}(\Omega)} \leq C \sum_{|\alpha|=k} \|D^\alpha u\|_{L^{p(\cdot)}(\Omega)}$$

for  $u \in W_0^{k,p(\cdot)}(\Omega)$ .

*Proof.* Assume  $p^* < N/k$  with  $k \in \mathbf{N}$ . Let  $u \in W_0^{k,p(\cdot)}(\Omega)$  and let  $\ell$  be a positive integer with  $\ell \leq k$ . Then we see from Lemma 2.2 that  $u \in W_0^{k-\ell, p_\ell^\sharp(\cdot)}(\Omega)$ , so that

$$\|D^\alpha u\|_{L^{p_\ell^\sharp(\cdot)}(\Omega)} \leq C \sum_{|\beta|=k-\ell+1} \|D^\beta u\|_{L^{p_{\ell-1}^\sharp(\cdot)}(\Omega)}$$

for  $|\alpha| = k - \ell$ , where  $p_0^\sharp(x) = p(x)$ . This proves the required result.  $\square$

### 3. Compact embeddings

In this section, we assume that  $p(\cdot)$  is a variable exponent on  $\Omega$  satisfying the log-Hölder condition and  $1 \leq p_* \leq p^* < \infty$ . For a set  $K$  in  $\mathbf{R}^N$ , we define

$$K(r) = \{x \in \mathbf{R}^N : \delta_K(x) \leq r\} \quad \text{for } r > 0,$$

where  $\delta_K(x)$  denotes the distance of  $x$  to  $K$ .

First, as in [23], we show the following noncompact embedding from  $W_0^{k,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$ .

**Proposition 3.1.** *Let  $x_0 \in \Omega$  and  $k \in \mathbf{N}$ , and let  $q(\cdot): \Omega \rightarrow [1, \infty)$  be a variable exponent on  $\Omega$  such that there exist  $C > 0$  and  $\eta > 0$  satisfying*

$$(3.1) \quad q(x) \geq p_k^\sharp(x) - \frac{C}{\log(1/|x - x_0|)} \quad \text{for almost every } x \in \Omega \cap B_\eta(x_0).$$

If  $p(x_0) < N/k$ , then the embedding from  $W_0^{k,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is not compact.

*Proof.* Assume  $p(x_0) < N/k$ . We may assume that  $x_0 = 0$  and  $B_1(0) \subset \Omega$ . Let  $\psi \in C_0^\infty(\mathbf{R})$  be a function such that  $0 \leq \psi(r) \leq 1$ ,  $\psi(r) = 0$  for  $r > 1$  and  $\psi(r) = 1$  for  $0 \leq r < 1/2$ . Set

$$\psi_n(x) = n^{N/p_k^\sharp(0)} \psi(n|x|)$$

for each  $n \in \mathbf{N}$ . Then, for  $n \geq 2$  and  $0 \leq |\alpha| \leq k$ , we note

$$\begin{aligned} \int_\Omega |D^\alpha \psi_n(x)|^{p(x)} dx &\leq C \int_{B_{1/n}(0)} n^{(N/p_k^\sharp(0)+|\alpha|)p(x)} dx \\ &\leq C n^{(N/p_k^\sharp(0)+|\alpha|)(p(0)+C/\log n)} \int_{B_{1/n}(0)} dx \leq C \end{aligned}$$

by the log-Hölder condition on  $p(\cdot)$ . Using (3.1), we have

$$\int_\Omega |\psi_n(x)|^{q(x)} dx \geq \int_{B_{1/(2n)}(0)} n^{Nq(x)/p_k^\sharp(0)} |\psi(n|x|)|^{q(x)} dx \geq C n^N \int_{B_{1/(2n)}(0)} dx = C > 0,$$

which implies that the embedding from  $W_0^{k,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is not compact since  $\int_\Omega |\psi_n(x)|^{p(x)} dx \rightarrow 0$  as  $n \rightarrow \infty$ .  $\square$

As a direct consequence, we have the following result:

**Corollary 3.2.** *Let  $K$  be a set in  $\mathbf{R}^N$ , and let  $x_0 \in K \cap \Omega$  and  $k \in \mathbf{N}$ . Let  $q(\cdot): \Omega \rightarrow [1, \infty)$  be a variable exponent on  $\Omega$  such that there exist  $C > 0$  and  $r > 0$  satisfying*

$$q(x) \geq p_k^\sharp(x) - \frac{C}{\log(1/\delta_K(x))} \quad \text{for almost every } x \in K(r) \cap \Omega.$$

If  $p(x_0) < N/k$ , then the embedding from  $W_0^{k,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is not compact.

*Proof.* Assume  $p(x_0) < N/k$ . Since  $\delta_K(x) \leq |x - x_0|$  for each  $x \in \mathbf{R}^N$ , we obtain the conclusion by the previous proposition.  $\square$

For the compact embeddings, we first give the following result.

**Proposition 3.3.** *Assume that  $p^* < N/k$  with some  $k \in \mathbf{N}$ . Let  $q(\cdot)$  be a variable exponent on  $\Omega$  such that  $1 \leq q_*$  and*

$$(3.2) \quad \operatorname{ess\,inf}_{x \in \Omega} \left( p_k^\sharp(x) - q(x) \right) > 0.$$

Then the following hold.

- (i) *The embedding of  $W_0^{k,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is compact.*
- (ii) *If  $\Omega$  satisfies the cone condition, then the embedding of  $W^{k,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is compact.*

The case (i) in the proposition is essentially a special case of [22, Theorem 3.8]; the case (ii) is a slight generalization of [14, Theorem 1.3] to the case  $1 \leq p_*$ .

*Proof of Proposition 3.3.* We only give a proof of (ii), since (i) can be proved similarly. Assume that  $\Omega$  satisfies the cone condition. By (3.2), take  $\varepsilon > 0$  such that  $p_k^\sharp(x) - q(x) > 2\varepsilon > 0$  for almost every  $x \in \Omega$ . Since  $p(\cdot)$  is uniformly continuous on  $\Omega$ , one can find open balls  $\{B_j\}_{j=1}^l$  and  $\{\tilde{B}_j\}_{j=1}^l$  with  $l \in \mathbf{N}$  such that  $\bar{\Omega} \subset \bigcup_{i=1}^l B_i$ ,  $\bar{B}_j \subset \tilde{B}_j$  and

$$\inf_{x \in \tilde{B}_j \cap \Omega} p_k^\sharp(x) - \varepsilon \geq \sup_{x \in \tilde{B}_j \cap \Omega} p_k^\sharp(x) - 2\varepsilon \geq \operatorname{ess\,sup}_{x \in \tilde{B}_j \cap \Omega} q(x) \quad \text{for each } j = 1, \dots, l.$$

Setting  $p_j = \inf_{x \in \tilde{B}_j \cap \Omega} p(x)$  and  $q_j = \operatorname{ess\,sup}_{x \in \tilde{B}_j \cap \Omega} q(x)$ , we see that  $q_j < Np_j / (N - kp_j)$  and the embedding from  $\{u \in W^{k,p(\cdot)}(\Omega) : u = 0 \text{ on } \Omega \setminus \tilde{B}_j\}$  to  $W^{k,p_j}(\Omega)$  and the embedding from  $\{u \in L^{q_j}(\Omega) : u = 0 \text{ on } \Omega \setminus \tilde{B}_j\}$  to  $L^{q(\cdot)}(\Omega)$  are continuous. By the Rellich-Kondrachov theorem (see [1, Theorem 6.3]),  $W^{k,p_j}(\Omega)$  is compactly embedded into  $L^{q_j}(\Omega)$ . Now, take  $\varphi_j \in C^1(\Omega; [0, 1])$  such that  $|\nabla \varphi_j| \leq C$  on  $\Omega$ ,  $\varphi_j = 1$  on  $\Omega \cap B_j$  and  $\varphi_j = 0$  on  $\Omega \setminus \tilde{B}_j$ . It is easy to see that the linear operator  $u \mapsto \varphi_j u$  is continuous on  $W^{k,p(\cdot)}(\Omega)$ . Noting  $\varphi_j u = 0$  on  $\Omega \setminus \tilde{B}_j$  for each  $u \in W^{k,p(\cdot)}(\Omega)$ , we can infer that  $\{\varphi_j u : u \in W^{k,p(\cdot)}(\Omega)\}$  is compactly embedded into  $L^{q(\cdot)}(\Omega)$ . Passing to subsequences repeatedly, we obtain the conclusion.  $\square$

For a compact set  $K$  in  $\mathbf{R}^N$  and  $s \in [0, N]$ , following Mattila [25], we say that the  $(N - s)$ -dimensional upper Minkowski content of  $K$  is finite if

$$|K(r)| \leq Cr^s \quad \text{for small } r > 0.$$

Now we are concerned with the compact embedding from  $W_0^{k,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  when  $q(\cdot)$  and  $p_k^\sharp(\cdot)$  coincides on some part of  $\Omega$ .

**Theorem 3.4.** *Let  $\varphi(\cdot) : [1/r_0, \infty) \rightarrow (0, \infty)$  be a continuous function such that*

- (i)  $\varphi(r)/\log r$  is nonincreasing on  $[1/r_0, \infty)$ ,
- (ii)  $\varphi(r) \rightarrow \infty$  as  $r \rightarrow \infty$

for some  $r_0 \in (0, 1/e)$ . Let  $K$  be a compact set in  $\mathbf{R}^N$  whose  $(N - s)$ -dimensional upper Minkowski content is finite for some  $s$  with  $0 < s \leq N$ . Let  $k \in \mathbf{N}$  and let  $q(\cdot)$  be a variable exponent on  $\Omega$  such that

- (iii)  $1 \leq q_* \leq q^* < \infty$ ,
- (iv)  $\operatorname{ess\,inf}_{\Omega \setminus K(r_0)} (p_k^\sharp(x) - q(x)) > 0$ ,
- (v)  $q(x) \leq p_k^\sharp(x) - \frac{\varphi(1/\delta_K(x))}{\log(1/\delta_K(x))}$  for almost every  $x \in K(r_0) \cap \Omega$ .

Then the embedding from  $W_0^{k,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is compact.

*Proof.* Without loss of generality, we may assume  $\varphi(r)/\log r \rightarrow 0$  as  $r \rightarrow \infty$ ; otherwise, we have  $\operatorname{ess\,inf}_{x \in \Omega} (p_k^\sharp(x) - q(x)) > 0$ , so that the conclusion follows from Proposition 3.3 (i).

First, consider the case  $p^* < N/k$ . Let us prove that

$$(3.3) \quad \lim_{\varepsilon \rightarrow +0} \sup \left\{ \int_{K(\varepsilon) \cap \Omega} |v(x)|^{q(x)} dx : v \in W_0^{k,p(\cdot)}(\Omega), \|v\|_{W^{k,p(\cdot)}(\Omega)} \leq 1 \right\} = 0.$$

For this purpose, take  $\beta$  with  $0 < \beta < s/(p^*)_k^\sharp$ . Let  $\varepsilon > 0$  such that  $\varepsilon^{-1} > 1/r_0$  and  $\varphi(1/\varepsilon) \geq 1$ . We set  $\eta_n = \varepsilon^{-\beta n}$  for each  $n \in \mathbf{N}$ . Then, by the assumptions on  $\varphi$ , we have for each  $n \in \mathbf{N}$  and  $x \in (K(\varepsilon^n) \setminus K(\varepsilon^{n+1})) \cap \Omega$ ,

$$\eta_n^{q(x)-p_k^\sharp(x)} \leq \eta_n^{-\frac{\varphi(1/\delta_{K(x)})}{\log(1/\delta_{K(x)})}} \leq \eta_n^{-\frac{\varphi(1/\varepsilon^{n+1})}{\log(1/\varepsilon^{n+1})}} = \exp(-(\beta n/(n+1))\varphi(1/\varepsilon^{n+1})) \equiv A_n.$$

Since

$$|K(r) \cap \Omega| \leq Cr^s \quad \text{for all } r > 0$$

by the boundedness of  $\Omega$ , we have

$$\int_{(K(\varepsilon^n) \setminus K(\varepsilon^{n+1})) \cap \Omega} \eta_n^{q(x)} dx \leq \eta_n^{(p^*)_k^\sharp} \int_{K(\varepsilon^n) \cap \Omega} dx \leq C\varepsilon^{n(s-\beta(p^*)_k^\sharp)}.$$

Hence we have

$$\begin{aligned} & \int_{(K(\varepsilon^n) \setminus K(\varepsilon^{n+1})) \cap \Omega} |v(x)|^{q(x)} dx \\ & \leq \int_{(K(\varepsilon^n) \setminus K(\varepsilon^{n+1})) \cap \Omega} |v(x)|^{q(x)} \left( \frac{|v(x)|}{\eta_n} \right)^{p_k^\sharp(x)-q(x)} dx + \int_{(K(\varepsilon^n) \setminus K(\varepsilon^{n+1})) \cap \Omega} \eta_n^{q(x)} dx \\ & \leq A_n \int_{(K(\varepsilon^n) \setminus K(\varepsilon^{n+1})) \cap \Omega} |v(x)|^{p_k^\sharp(x)} dx + C\varepsilon^{n(s-\beta(p^*)_k^\sharp)}, \end{aligned}$$

so that for each  $n_0 \in \mathbf{N}$ , we obtain

$$\begin{aligned} \int_{K(\varepsilon^{n_0}) \cap \Omega} |v(x)|^{q(x)} dx &= \sum_{n=n_0}^{\infty} \int_{(K(\varepsilon^n) \setminus K(\varepsilon^{n+1})) \cap \Omega} |v(x)|^{q(x)} dx \\ &\leq \left( \sup_{n \geq n_0} A_n \right) \int_{\Omega} |v(x)|^{p_k^\sharp(x)} dx + C \sum_{n=n_0}^{\infty} \varepsilon^{n(s-\beta(p^*)_k^\sharp)}. \end{aligned}$$

Since  $A_n \rightarrow 0$  as  $n \rightarrow \infty$ ,  $s - \beta(p^*)_k^\sharp > 0$  and  $\|v\|_{L^{p_k^\sharp(\cdot)}(\Omega)} \leq C\|v\|_{W^{k,p(\cdot)}(\Omega)}$  for all  $v \in W_0^{k,p(\cdot)}(\Omega)$  by Corollary 2.3, (3.3) is obtained by letting  $n_0 \rightarrow \infty$ .

Let  $\{v_j\}$  be a bounded sequence in  $W_0^{k,p(\cdot)}(\Omega)$ . We may assume that it converges weakly to some  $v \in W_0^{k,p(\cdot)}(\Omega)$ . By Proposition 3.3 (ii), the embedding from  $W^{k,p(\cdot)}(B)$  to  $L^{q(\cdot)}(B)$  is compact for each ball  $B \subset \Omega$  such that  $\text{ess inf}_{x \in B} (p_k^\sharp(x) - q(x)) > 0$ . Let  $n \in \mathbf{N}$ . Since  $\Omega \setminus K(2^{-n})$  is a bounded open set in  $\mathbf{R}^N$ , there exists a finite family of balls contained in  $\mathbf{R}^N \setminus K(2^{-n-1})$  whose union contains  $\Omega \setminus K(2^{-n})$ . Since  $\text{ess inf}_{x \in \Omega \setminus K(2^{-n-1})} (p_k^\sharp(x) - q(x)) > 0$ , we can find a subsequence  $\{v_{j_k, n}\}$  of  $\{v_j\}$  such that  $v_{j_k, n} \rightarrow v$  in  $L^{q(\cdot)}(\Omega \setminus K(2^{-n}))$  as well as almost everywhere on  $\Omega \setminus K(2^{-n})$ . Using the diagonal method, we can find a subsequence  $\{v_{j_n}\}$  such that  $v_{j_n} \rightarrow v$  in  $L^{q(\cdot)}(\Omega \setminus K(\varepsilon))$  for each small  $\varepsilon > 0$  and  $v_{j_n} \rightarrow v$  almost everywhere on  $\Omega$ . It follows that

$$\begin{aligned} & \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} |v_{j_n}(x) - v(x)|^{q(x)} dx \\ &= \overline{\lim}_{n \rightarrow \infty} \left( \int_{K(\varepsilon) \cap \Omega} |v_{j_n}(x) - v(x)|^{q(x)} dx + \int_{\Omega \setminus K(\varepsilon)} |v_{j_n}(x) - v(x)|^{q(x)} dx \right) \\ &= \overline{\lim}_{n \rightarrow \infty} \int_{K(\varepsilon) \cap \Omega} |v_{j_n}(x) - v(x)|^{q(x)} dx \end{aligned}$$

for each small  $\varepsilon > 0$ , which together with (3.3) implies that  $\|v_{j_n} - v\|_{L^{q(\cdot)}(\Omega)} \rightarrow 0$  as  $n \rightarrow \infty$ .

Next consider the general case. We choose  $\varepsilon_0 > 0$  such that

$$q^* < N(N/k - \varepsilon_0)/(k\varepsilon_0) - \varphi(1/r_0)/\log(1/r_0).$$

We set  $p_{\varepsilon_0}(x) = \min\{p(x), N/k - \varepsilon_0\}$ . Since the embedding from  $W_0^{k,p(\cdot)}(\Omega)$  to  $W_0^{k,p_{\varepsilon_0}(\cdot)}(\Omega)$  is bounded, we can apply the first considerations to obtain the required result.  $\square$

As a special case of Theorem 3.4, we have the following corollary, which gives an extension of [23, Theorem 2]. We put  $\log^1 r = \log r$  and  $\log^{n+1} r = \log(\log^n r)$ , inductively.

**Corollary 3.5.** *Let  $k \in \mathbf{N}$  and let  $q(\cdot)$  be a variable exponent on  $\Omega$  such that  $1 \leq q_* \leq q^* < \infty$ . Suppose there exist  $x_0 \in \Omega$ ,  $C > 0$ ,  $n \in \mathbf{N}$  and small  $r_0 > 0$  such that*

$$\operatorname{ess\,inf}_{x \in \Omega \setminus B_{r_0}(x_0)} \left( p_k^\sharp(x) - q(x) \right) > 0$$

and

$$q(x) \leq p_k^\sharp(x) - \frac{C \log^n(1/|x - x_0|)}{\log(1/|x - x_0|)} \quad \text{for almost every } x \in B_{r_0}(x_0).$$

Then the embedding from  $W_0^{k,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is compact.

#### 4. Existence of a solution to (1.3): compact embedding case

In this section, we assume that  $p(\cdot)$  is a variable exponent on  $\Omega$  satisfying the log-Hölder condition and  $1 < p_* \leq p^* < N$ . Further let  $q(\cdot)$  be a variable exponent on  $\Omega$  such that  $p^* < q_* \leq q(x) \leq p_1^\sharp(x)$  for almost every  $x \in \Omega$ .

As an application of Theorem 3.4, we show an existence result of nontrivial nonnegative weak solutions to (1.3) as follows.

**Theorem 4.1.** *Assume that the embedding from  $W_0^{1,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is compact. Then there exists a nontrivial nonnegative weak solution of (1.3).*

In the case of  $\operatorname{ess\,inf}_{x \in \Omega} (p_1^\sharp(x) - q(x)) > 0$ , Fan and Zhang obtained such a result in [15, Theorem 4.7]. Although  $q(\cdot)$  can be equal to  $p_1^\sharp(\cdot)$  at some points, the proof in [15] also works in our case with minor changes since we consider the case that the embedding from  $W_0^{1,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is compact. However, for the reader's convenience, we give a proof of our theorem.

Let  $X$  be a Banach space. We say that  $u \in X$  is a critical point of  $I \in C^1(X; \mathbf{R})$  if the Fréchet derivative  $I'(u)$  of  $I$  at  $u$  is zero. We say that  $\{u_n\} \subset X$  is a Palais–Smale sequence for  $I$  if  $\{I(u_n)\}$  is bounded and  $I'(u_n) \rightarrow 0$  as  $n \rightarrow \infty$  in the dual space of  $X$ . We also say that  $I$  satisfies the Palais–Smale condition if every Palais–Smale sequence for  $I$  has a convergent subsequence.

We consider a functional  $I: W_0^{1,p(\cdot)}(\Omega) \rightarrow \mathbf{R}$  defined by

$$I(u) = \int_{\Omega} \left( \frac{1}{p(x)} |\nabla u(x)|^{p(x)} - \frac{1}{q(x)} u^+(x)^{q(x)} \right) dx \quad \text{for } u \in W_0^{1,p(\cdot)}(\Omega).$$

The Gâteaux derivative  $I'(u)$  of  $I$  at  $u \in W_0^{1,p(\cdot)}(\Omega)$  is given by

$$\begin{aligned} \langle I'(u), v \rangle &= \lim_{t \rightarrow 0} \frac{I(u + tv) - I(u)}{t} \\ &= \int_{\Omega} (|\nabla u(x)|^{p(x)-2} \nabla u(x) \nabla v(x) - u^+(x)^{q(x)-1} v(x)) \, dx \end{aligned}$$

for each  $v \in W_0^{1,p(\cdot)}(\Omega)$ . By the Vitali convergence theorem, we see that  $I'$  is continuous from  $W_0^{1,p(\cdot)}(\Omega)$  to its dual space  $(W_0^{1,p(\cdot)}(\Omega))'$ , and hence  $I \in C^1(W_0^{1,p(\cdot)}(\Omega); \mathbf{R})$ .

The following is essentially due to Boccardo and Murat [4, Theorem 2.1].

**Proposition 4.2.** *Let  $\{u_n\} \subset W_0^{1,p(\cdot)}(\Omega)$  be a Palais–Smale sequence for  $I$ . Then  $\{u_n\}$  is bounded in  $W_0^{1,p(\cdot)}(\Omega)$ . Further there exist a subsequence  $\{u_{n_i}\}$  of  $\{u_n\}$  and  $u \in W_0^{1,p(\cdot)}(\Omega)$  such that  $\{\nabla u_{n_i}(x)\}$  converges to  $\nabla u(x)$  for almost every  $x \in \Omega$ .*

*Proof.* Setting  $\beta = \sup_{n \in \mathbf{N}} I(u_n)$ , we have

$$(4.1) \quad \int_{\Omega} \left( \frac{1}{p^*} |\nabla u_n(x)|^{p(x)} - \frac{1}{q_*} u_n^+(x)^{q(x)} \right) dx \leq I(u_n) \leq \beta \quad \text{for all } n \in \mathbf{N}.$$

Since  $I'(u_n) \rightarrow 0$  as  $n \rightarrow \infty$  in  $(W_0^{1,p(\cdot)}(\Omega))'$ , we have

$$(4.2) \quad \int_{\Omega} (|\nabla u_n(x)|^{p(x)} - u_n^+(x)^{q(x)}) \, dx = \langle I'(u_n), u_n \rangle \geq -\|u_n\|_{W_0^{1,p(\cdot)}(\Omega)}$$

for each large positive integer  $n$ . Subtracting (4.2) divided by  $q_*$  from (4.1) gives

$$\left( \frac{1}{p^*} - \frac{1}{q_*} \right) \int_{\Omega} |\nabla u_n(x)|^{p(x)} \, dx \leq \beta + \frac{1}{q_*} \|u_n\|_{W_0^{1,p(\cdot)}(\Omega)} \leq C(\|\nabla u_n\|_{L^{p(\cdot)}(\Omega)} + 1);$$

we used Lemma 2.2 in the second inequality. Thus Lemma 2.1 gives

$$\|\nabla u_n\|_{L^{p(\cdot)}(\Omega)} + 1 \geq C \min \left\{ \|\nabla u_n\|_{L^{p(\cdot)}(\Omega)}^{p^*}, \|\nabla u_n\|_{L^{p(\cdot)}(\Omega)}^{p^*} \right\},$$

so that  $\{u_n\}$  is bounded in  $W_0^{1,p(\cdot)}(\Omega)$ . Hence, passing to a subsequence, we may assume that  $\{u_n\}$  converges weakly to some  $u$  in  $W_0^{1,p(\cdot)}(\Omega)$  and  $\{u_n(x)\}$  converges to  $u(x)$  for almost every  $x \in \Omega$ . For  $\eta > 0$ , let  $T_\eta: \mathbf{R} \rightarrow \mathbf{R}$  be a function such that

$$T_\eta(t) = t \quad \text{for } |t| \leq \eta, \quad T_\eta(t) = \eta t/|t| \quad \text{for } |t| > \eta.$$

Since  $\{T_\eta(u_n - u)\}$  converges weakly to 0 in  $W_0^{1,p(\cdot)}(\Omega)$  and  $\{u_n\}$  is bounded in  $L^{q(\cdot)}(\Omega)$  by Lemma 2.2, we have

$$\begin{aligned} & \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} (|\nabla u_n(x)|^{p(x)-2} \nabla u_n(x) - |\nabla u(x)|^{p(x)-2} \nabla u(x)) \nabla (T_\eta(u_n(x) - u(x))) \, dx \\ &= \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} u_n^+(x)^{q(x)-1} T_\eta(u_n(x) - u(x)) \, dx \leq C\eta, \end{aligned}$$

where  $C > 0$  is a constant which is independent of  $\eta > 0$ . We set

$$\rho_n(x) = (|\nabla u_n(x)|^{p(x)-2} \nabla u_n(x) - |\nabla u(x)|^{p(x)-2} \nabla u(x)) (\nabla u_n(x) - \nabla u(x)).$$

We note that  $\rho_n \geq 0$  almost everywhere for each  $n \in \mathbf{N}$ . Further we set

$$E_n = \{x \in \Omega: |u_n(x) - u(x)| \leq \eta\}, \quad F_n = \{x \in \Omega: |u_n(x) - u(x)| > \eta\}$$



for each  $n \in \mathbf{N}$ . We fix  $\theta \in (0, 1)$ . Since

$$\int_{\Omega} \rho_n(x)^\theta dx \leq \left( \int_{E_n} \rho_n(x) dx \right)^\theta |E_n|^{1-\theta} + \left( \int_{F_n} \rho_n(x) dx \right)^\theta |F_n|^{1-\theta} \quad \text{for each } n \in \mathbf{N},$$

$|F_n| \rightarrow 0$  and  $\{\rho_n\}$  is bounded in  $L^1(\Omega)$ , we have

$$\overline{\lim}_{n \rightarrow \infty} \int_{\Omega} \rho_n(x)^\theta dx \leq (C\eta)^\theta |\Omega|^{1-\theta}.$$

Letting  $\eta \rightarrow 0$ , we have  $\int_{\Omega} \rho_n(x)^\theta dx \rightarrow 0$ . Thus we may assume  $\{\rho_n(x)\}$  converges to 0 for almost every  $x \in \Omega$ . Since  $p_* > 1$ , we see that a subsequence of  $\{\nabla u_n(x)\}$  converges to  $\nabla u(x)$  for almost every  $x \in \Omega$ .  $\square$

**Lemma 4.3.** *Suppose the embedding from  $W_0^{1,p(\cdot)}(\Omega)$  to  $L^{q(\cdot)}(\Omega)$  is compact. Then the functional  $I$  satisfies the Palais-Smale condition.*

*Proof.* Let  $\{u_n\} \subset W_0^{1,p(\cdot)}(\Omega)$  be a Palais-Smale sequence for  $I$ . By the previous proposition, we may assume that  $\{u_n\}$  converges weakly to some  $u \in W_0^{1,p(\cdot)}(\Omega)$ , and  $\{u_n(x)\}$  and  $\{\nabla u_n(x)\}$  converge to  $u(x)$  and  $\nabla u(x)$  almost every  $x \in \Omega$ , respectively. Since  $\langle I'(u_n), u \rangle \rightarrow 0$ , the Vitali convergence theorem implies that

$$\int_{\Omega} |\nabla u(x)|^{p(x)} dx = \int_{\Omega} u^+(x)^{q(x)} dx.$$

This equality together with  $\langle I'(u_n), u_n \rangle \rightarrow 0$  and the compact embedding assumption give

$$\begin{aligned} \lim_{n \rightarrow \infty} \int_{\Omega} |\nabla u_n(x)|^{p(x)} dx &= \lim_{n \rightarrow \infty} \int_{\Omega} u_n^+(x)^{q(x)} dx \\ (4.3) \qquad \qquad \qquad &= \int_{\Omega} u^+(x)^{q(x)} dx = \int_{\Omega} |\nabla u(x)|^{p(x)} dx. \end{aligned}$$

Now, we consider the function

$$w_n(x) = 2^{p^*-1} (|\nabla u_n(x)|^{p(x)} + |\nabla u(x)|^{p(x)}) - |\nabla u_n(x) - \nabla u(x)|^{p(x)}.$$

Since  $w_n(x) \geq 0$  for almost every  $x \in \Omega$ , we see from Fatou's lemma and (4.3) that

$$\begin{aligned} 2^{p^*} \int_{\Omega} |\nabla u(x)|^{p(x)} dx - \overline{\lim}_{n \rightarrow \infty} \int_{\Omega} |\nabla u_n(x) - \nabla u(x)|^{p(x)} dx \\ \geq \int_{\Omega} \underline{\lim}_{n \rightarrow \infty} w_n(x) dx = 2^{p^*} \int_{\Omega} |\nabla u(x)|^{p(x)} dx, \end{aligned}$$

so that

$$\lim_{n \rightarrow \infty} \int_{\Omega} |\nabla u_n(x) - \nabla u(x)|^{p(x)} dx = 0.$$

Hence we see that  $\{u_n\}$  converges strongly to  $u$  in  $W_0^{1,p(\cdot)}(\Omega)$ .  $\square$

We recall the following variant of the mountain pass theorem; see e.g., [34].

**Theorem 4.4.** *Let  $X$  be a Banach space and let  $I$  be a  $C^1$  functional on  $X$  such that  $I(0) = 0$ ,*

- (i) *there exist positive constants  $\kappa, r > 0$  such that  $I(u) \geq \kappa$  for all  $u \in X$  with  $\|u\| = r$ , and*
- (ii) *there exists an element  $v \in X$  such that  $I(v) < 0$  and  $\|v\| > r$ .*

Define

$$c = \inf_{\gamma \in \Gamma} \max_{0 \leq t \leq 1} I(\gamma(t)),$$

where

$$(4.4) \quad \Gamma = \{\gamma \in C([0, 1]; X) : \gamma(0) = 0, I(\gamma(1)) < 0, \|\gamma(1)\| > r\}.$$

Then  $c > 0$  and for each  $\varepsilon > 0$ , there exists  $u \in X$  such that  $|I(u) - c| \leq \varepsilon$  and  $\|I'(u)\| \leq \varepsilon$ .

Now we are ready to prove Theorem 4.1.

*Proof of Theorem 4.1.* First we find  $r > 0$  such that

$$(4.5) \quad \inf\{I(u) : u \in W_0^{1,p(\cdot)}(\Omega), \|u\|_{W^{1,p(\cdot)}(\Omega)} = r\} > 0.$$

Taking  $r > 0$  so small, by Lemma 2.2, we have  $\|\nabla u\|_{L^{p(\cdot)}(\Omega)} \leq 1$  and  $\|u\|_{L^{q(\cdot)}(\Omega)} \leq 1$  for all  $u \in W_0^{1,p(\cdot)}(\Omega)$  with  $\|u\|_{W^{1,p(\cdot)}(\Omega)} = r$ . Then for each  $u \in W_0^{1,p(\cdot)}(\Omega)$  with  $\|u\|_{W^{1,p(\cdot)}(\Omega)} = r$ , we have

$$\int_{\Omega} u^+(x)^{q(x)} dx \leq \|u\|_{L^{q(\cdot)}(\Omega)}^{q_*} \leq C \|u\|_{L^{p_1^{\sharp}(\cdot)}(\Omega)}^{q_*} \leq C \|\nabla u\|_{L^{p(\cdot)}(\Omega)}^{q_*}$$

by Lemmas 2.1 and 2.2, so that

$$I(u) \geq \frac{1}{p^*} \|\nabla u\|_{L^{p(\cdot)}(\Omega)}^{p^*} - \frac{C}{q_*} \|\nabla u\|_{L^{p(\cdot)}(\Omega)}^{q_*}.$$

Since  $p^* < q_*$ , we have (4.5) if  $r > 0$  is small.

Next we prove  $I(tu) \rightarrow -\infty$  as  $t \rightarrow \infty$  for  $u \in W_0^{1,p(\cdot)}(\Omega)$  with  $u^+ \neq 0$ . In fact, if  $u \in W_0^{1,p(\cdot)}(\Omega)$  such that  $u^+ \neq 0$ , then we see that

$$I(tu) \leq t^{p^*} \int_{\Omega} \frac{1}{p(x)} |\nabla u(x)|^{p(x)} dx - t^{q_*} \int_{\Omega} \frac{1}{q(x)} u^+(x)^{q(x)} dx \rightarrow -\infty$$

as  $t \rightarrow \infty$ , since  $p^* < q_*$ .

Now the required result follows from Lemma 4.3 and Theorem 4.4.  $\square$

As a direct consequence of Theorem 4.1, we have the following:

**Corollary 4.5.** *Suppose all hypotheses in Theorem 3.4 hold for  $k = 1$ . Then there exists a nontrivial nonnegative weak solution of (1.3).*

## 5. Existence of a solution to (1.3): noncompact embedding case

Our final aim is to deal with the existence result of a nontrivial nonnegative weak solution to (1.3) in the case that the embedding may not be compact.

For real sequences  $\{a_n\}$  and  $\{b_n\}$ , we write  $a_n = b_n + o(1)$  or  $a_n \leq b_n + o(1)$  if  $\lim_n(a_n - b_n) = 0$  or  $\lim_n(a_n - b_n) \leq 0$ , respectively.

**Proposition 5.1.** *Let  $p(\cdot)$  be a log-Hölder continuous function on  $\Omega$  with  $1 < p_* \leq p^* < N$  and let  $q(\cdot)$  be a measurable function on  $\Omega$  such that  $p^* < q_* \leq q(x) \leq$*

$p_1^\sharp(x)$  for almost every  $x \in \Omega$ . Assume  $\inf_{u \in \mathcal{N}_I} I(u) < \inf_{u \in \mathcal{N}_J} J(u)$ , where

$$\begin{aligned}
 I(u) &= \int_{\Omega} \left( \frac{1}{p(x)} |\nabla u(x)|^{p(x)} - \frac{1}{q(x)} u^+(x)^{q(x)} \right) dx \quad \text{for } u \in W_0^{1,p(\cdot)}(\Omega), \\
 J(u) &= \int_{\Omega} \left( \frac{1}{p(x)} |\nabla u(x)|^{p(x)} - \frac{1}{p_1^\sharp(x)} u^+(x)^{p_1^\sharp(x)} \right) dx \quad \text{for } u \in W_0^{1,p(\cdot)}(\Omega), \\
 \mathcal{N}_I &= \left\{ u \in W_0^{1,p(\cdot)}(\Omega) \setminus \{0\} : \int_{\Omega} |\nabla u(x)|^{p(x)} dx = \int_{\Omega} u^+(x)^{q(x)} dx \right\}, \\
 \mathcal{N}_J &= \left\{ u \in W_0^{1,p(\cdot)}(\Omega) \setminus \{0\} : \int_{\Omega} |\nabla u(x)|^{p(x)} dx = \int_{\Omega} u^+(x)^{p_1^\sharp(x)} dx \right\}.
 \end{aligned}$$

Then problem (1.3) has a nontrivial nonnegative weak solution.

*Proof.* We set  $c = \inf_{u \in \mathcal{N}_I} I(u)$ , and define  $\Gamma$  by (4.4) with  $X = W_0^{1,p(\cdot)}(\Omega)$ . Along the similar lines as those in the proof of Theorem 4.1, we can easily see that  $\Gamma \neq \emptyset$ ,  $\mathcal{N}_J \neq \emptyset$ ,  $\mathcal{N}_I \neq \emptyset$  and (4.5) holds for small  $r > 0$ .

First we show

$$(5.1) \quad c = \inf_{\gamma \in \Gamma} \max_{0 \leq t \leq 1} I(\gamma(t)).$$

Let  $u \in \mathcal{N}_I$ . For  $\alpha_u > 1$  large enough, consider the path  $\gamma_u \in \Gamma$  defined by  $\gamma_u(t) = t\alpha_u u$  for  $t \in [0, 1]$ . Since  $I(u) = \max_{0 \leq t \leq 1} I(\gamma_u(t))$ , we have

$$c \geq \inf_{\gamma \in \Gamma} \max_{0 \leq t \leq 1} I(\gamma(t)).$$

On the other hand, let  $\gamma \in \Gamma$ . Then

$$\int_{\Omega} (|\nabla \gamma(1)|^{p(x)} - (\gamma(1)^+)^{q(x)}) dx < 0.$$

As in the proof of Theorem 4.1, we find a small  $t > 0$  satisfying

$$\int_{\Omega} (|\nabla \gamma(t)|^{p(x)} - (\gamma(t)^+)^{q(x)}) dx > 0.$$

By the intermediate value theorem, there exists  $t \in (0, 1)$  such that  $\gamma(t) \in \mathcal{N}_I$ , which implies  $c \leq \inf_{\gamma \in \Gamma} \max_{0 \leq t \leq 1} I(\gamma(t))$ . Thus (5.1) holds.

Now, in view of Theorem 4.4,  $c > 0$ . Moreover there exists  $\{u_n\} \subset W_0^{1,p(\cdot)}(\Omega)$  such that  $I(u_n) \rightarrow c$  and  $I'(u_n) \rightarrow 0$  in  $(W_0^{1,p(\cdot)}(\Omega))'$ . By Proposition 4.2 and  $c > 0$ , we find a constant  $C > 0$  such that

$$(5.2) \quad \frac{1}{C} \leq \int_{\Omega} |\nabla u_n(x)|^{p(x)} dx \leq C \quad \text{for large } n \in \mathbf{N}.$$

Here we may assume that  $\{u_n\}$  converges weakly to some  $u \in W_0^{1,p(\cdot)}(\Omega)$ ; further  $\{u_n(x)\}$  and  $\{\nabla u_n(x)\}$  converge to  $u(x)$  and  $\nabla u(x)$  for almost every  $x \in \Omega$ , respectively. Then it follows that  $I'(u) = 0$ . If we show that  $u \neq 0$ , then  $u$  is a nontrivial nonnegative weak solution of (1.3).

On the contrary, suppose  $u = 0$ . Since  $I(u_n) \rightarrow c > 0$  and  $\langle I'(u_n), u_n \rangle \rightarrow 0$ , taking a subsequence if necessary, we may assume  $u_n^+ \neq 0$  for all  $n \in \mathbf{N}$ . Then for each  $n \in \mathbf{N}$ , there exists a unique  $t_n \in (0, \infty)$  such that

$$\int_{\Omega} |\nabla(t_n u_n(x))|^{p(x)} dx = \int_{\Omega} (t_n u_n^+(x))^{p_1^\sharp(x)} dx,$$

i.e.,  $t_n u_n \in \mathcal{N}_J$ . We will show  $t_n \leq 1 + o(1)$ . On the contrary, if there exists  $\varepsilon > 0$  such that  $t_n \geq 1 + \varepsilon$  for all  $n \in \mathbf{N}$ , then

$$\begin{aligned} t_n^{p^*} \int_{\Omega} |\nabla u_n(x)|^{p(x)} dx &\geq \int_{\Omega} |\nabla(t_n u_n(x))|^{p(x)} dx \\ &= \int_{\Omega} (t_n u_n^+(x))^{p_1^\sharp(x)} dx \geq t_n^{q^*} \int_{\Omega} u_n^+(x)^{p_1^\sharp(x)} dx \end{aligned}$$

for all  $n \in \mathbf{N}$ . Using Lebesgue's convergence theorem, we have

$$\begin{aligned} \int_{\Omega} |\nabla u_n(x)|^{p(x)} dx &= \int_{\Omega} u_n^+(x)^{q(x)} dx + o(1) \\ &= \int_{\{x \in \Omega: u_n(x) \leq 1\}} u_n^+(x)^{q(x)} dx + \int_{\{x \in \Omega: u_n(x) > 1\}} u_n^+(x)^{q(x)} dx + o(1) \\ &\leq \int_{\Omega} \min\{u_n^+(x), 1\} dx + \int_{\Omega} u_n^+(x)^{p_1^\sharp(x)} dx + o(1) \\ &\leq \int_{\Omega} u_n^+(x)^{p_1^\sharp(x)} dx + o(1). \end{aligned}$$

Hence it follows that

$$\begin{aligned} \int_{\Omega} |\nabla u_n(x)|^{p(x)} dx &\geq t_n^{q^* - p^*} \int_{\Omega} u_n^+(x)^{p_1^\sharp(x)} dx \geq (1 + \varepsilon)^{q^* - p^*} \int_{\Omega} u_n^+(x)^{p_1^\sharp(x)} dx \\ &\geq (1 + \varepsilon)^{q^* - p^*} \left( \int_{\Omega} |\nabla u_n(x)|^{p(x)} dx + o(1) \right), \end{aligned}$$

which together with (5.2) yields a contradiction. Thus we have shown  $t_n \leq 1 + o(1)$ . On the other hand, for each  $n \in \mathbf{N}$ , take a unique number  $s_n > 0$  such that

$$(5.3) \quad \int_{\Omega} |\nabla(s_n u_n(x))|^{p(x)} dx = \int_{\Omega} (s_n u_n^+(x))^{q(x)} dx,$$

i.e.,  $s_n u_n \in \mathcal{N}_I$ . We see easily that  $I(s_n u_n) = \max_{s \geq 0} I(s u_n)$  for each  $n \in \mathbf{N}$ . By (5.2), (5.3) and  $\langle I'(u_n), u_n \rangle = o(1)$ , we infer that  $s_n = 1 + o(1)$ , so that

$$I(u_n) = I(s_n u_n) + o(1) = \max_{s \geq 0} I(s u_n) + o(1) \geq I(t_n u_n) + o(1).$$

Let  $\varepsilon \in (0, 1)$ . Then, noting

$$\begin{aligned} \int_{\{x \in \Omega: q(x) \leq p_1^\sharp(x) - \varepsilon\}} (t_n u_n^+(x))^{q(x)} dx &\leq \int_{\Omega} \min\{t_n u_n^+(x), 1\} dx + \int_{\Omega} (t_n u_n^+(x))^{p_1^\sharp(x) - \varepsilon} dx \\ &= o(1), \end{aligned}$$

we obtain

$$\begin{aligned} c &= I(u_n) + o(1) \geq I(t_n u_n) + o(1) \\ &\geq \int_{\Omega} \left( \frac{1}{p(x)} |\nabla(t_n u_n(x))|^{p(x)} - \frac{1}{p_1^\sharp(x) - \varepsilon} (t_n u_n^+(x))^{p_1^\sharp(x)} \right) dx + o(1) \\ &= J(t_n u_n) + \int_{\Omega} \left( \frac{1}{p_1^\sharp(x)} - \frac{1}{p_1^\sharp(x) - \varepsilon} \right) (t_n u_n^+(x))^{p_1^\sharp(x)} dx + o(1) \geq \inf_{v \in \mathcal{N}_J} J(v) - C\varepsilon, \end{aligned}$$

where  $C$  is a constant which is independent of  $\varepsilon \in (0, 1)$ . Since  $\varepsilon \in (0, 1)$  is arbitrary, we conclude that  $c \geq \inf_{v \in \mathcal{N}_J} J(v)$ , which contradicts our assumption. Hence it follows that  $u \neq 0$ , as required.  $\square$

We denote by  $\mathcal{D}^{1,p(\cdot)}(\mathbf{R}^N)$  the completion of  $C_0^\infty(\mathbf{R}^N)$  by the norm  $\|\nabla u\|_{L^{p(\cdot)}(\mathbf{R}^N)}$  in  $C_0^\infty(\mathbf{R}^N)$ .

**Theorem 5.2.** *Let  $p(\cdot): \mathbf{R}^N \rightarrow \mathbf{R}$  be a log-Hölder continuous function with  $1 < p_* \leq p^* < N$ , and let  $q(\cdot): \mathbf{R}^N \rightarrow \mathbf{R}$  be a measurable function such that  $p^* < q_* \leq q(x) \leq p_1^\sharp(x)$  for almost every  $x \in \mathbf{R}^N$ . Assume that  $\mathcal{D}^{1,p(\cdot)}(\mathbf{R}^N)$  is continuously embedded into  $L^{p_1^\sharp(\cdot)}(\mathbf{R}^N)$ , i.e., there exists a constant  $C > 0$  such that*

$$(5.4) \quad \|u\|_{L^{p_1^\sharp(\cdot)}(\mathbf{R}^N)} \leq C \|\nabla u\|_{L^{p(\cdot)}(\mathbf{R}^N)} \quad \text{for all } u \in \mathcal{D}^{1,p(\cdot)}(\mathbf{R}^N).$$

Assume also that there exist a measurable subset  $D$  of  $\mathbf{R}^N$  and a number  $q_0$  such that

$$(5.5) \quad \overline{\lim}_{R \rightarrow \infty} |\{x \in B_1(0) : Rx \in D\}| < |B_1(0)|,$$

$N\underline{p}/(N + p_* - \underline{p}) < q_0 < N\underline{p}/(N - \underline{p})$ , and  $\text{ess sup}_{x \in \mathbf{R}^N \setminus D} q(x) \leq q_0$ , where  $\underline{p} = \underline{\lim}_{|x| \rightarrow \infty} p(x)$ . Then there exists  $R > 0$  such that for each bounded open set  $\Omega$  in  $\mathbf{R}^N$  which contains  $B_R(0)$ , problem (1.3) has a nontrivial nonnegative weak solution.

*Proof.* We set

$$J_{\mathbf{R}^N}(u) = \int_{\mathbf{R}^N} \left( \frac{1}{p(x)} |\nabla u(x)|^{p(x)} - \frac{1}{p_1^\sharp(x)} u^+(x)^{p_1^\sharp(x)} \right) dx \quad \text{for } u \in \mathcal{D}^{1,p(\cdot)}(\mathbf{R}^N),$$

$$\mathcal{N}_{J_{\mathbf{R}^N}} = \left\{ u \in \mathcal{D}^{1,p(\cdot)}(\mathbf{R}^N) \setminus \{0\} : \int_{\mathbf{R}^N} |\nabla u(x)|^{p(x)} dx = \int_{\mathbf{R}^N} u^+(x)^{p_1^\sharp(x)} dx \right\}.$$

By Lemma 2.1 we have for  $u \in \mathcal{N}_{J_{\mathbf{R}^N}}$

$$\begin{aligned} \min \left\{ \|\nabla u\|_{L^{p(\cdot)}(\mathbf{R}^N)}^{p_*}, \|\nabla u\|_{L^{p(\cdot)}(\mathbf{R}^N)}^{p^*} \right\} &\leq \int_{\mathbf{R}^N} |\nabla u(x)|^{p(x)} dx \\ &= \int_{\mathbf{R}^N} u^+(x)^{p_1^\sharp(x)} dx \leq \max \left\{ \|u^+\|_{L^{p_1^\sharp(\cdot)}(\mathbf{R}^N)}^{(p_1^\sharp)^*}, \|u^+\|_{L^{p_1^\sharp(\cdot)}(\mathbf{R}^N)}^{(p_1^\sharp)^*} \right\}, \end{aligned}$$

which together with (5.4) implies that

$$\inf_{u \in \mathcal{N}_{J_{\mathbf{R}^N}}} \|\nabla u\|_{L^{p(\cdot)}(\mathbf{R}^N)} > 0.$$

Hence we infer that

$$\inf_{u \in \mathcal{N}_{J_{\mathbf{R}^N}}} J_{\mathbf{R}^N}(u) > 0.$$

Choose any  $p_0$  such that

$$(5.6) \quad 1 < p_0 < \underline{p} \quad \text{and} \quad \frac{Np_0}{N + p_* - p_0} < q_0 < \frac{Np_0}{N - p_0}.$$

Let  $\bar{u}_1 \in W_0^{1,p_0}(B_1(0))$  be a weak solution of the problem

$$(5.7) \quad \begin{cases} -\text{div} (|\nabla u(x)|^{p_0-2} \nabla u(x)) = u(x)^{q_0-1} & \text{in } B_1(0), \\ u(x) > 0 & \text{in } B_1(0), \\ u(x) = 0 & \text{on } \partial B_1(0). \end{cases}$$

According to [24, Theorem 1] or [33, Proposition 2.1], we see that  $\bar{u}_1 \in C^{1,\beta}(\overline{B_1(0)})$  for some  $\beta \in (0, 1)$ . Hence, for each  $R > 0$ ,  $\bar{u}_R(x) \equiv R^{-p_0/(q_0-p_0)} \bar{u}_1(x/R)$  is a weak

solution of (5.7). Take  $R_1 > 0$  such that  $\max_{|x| \leq R} \bar{u}_R(x) \leq 1$  for  $R \geq R_1$ . For each  $R > 0$ , there exists a unique  $t_R \in (0, \infty)$  such that

$$\int_{B_R(0)} |\nabla(t_R \bar{u}_R(x))|^{p(x)} dx = \int_{B_R(0)} |t_R \bar{u}_R(x)|^{q(x)} dx.$$

From (5.5), we find  $\delta > 0$  and  $R_2 \geq R_1$  such that

$$|\{x \in B_1(0) : Rx \in D\}| \leq |B_1(0)| - \delta \quad \text{for each } R \geq R_2.$$

We will show  $\{t_R : R \geq R_2\}$  is bounded. If  $t_R > 1$  with  $R \geq R_2$ , then we have

$$\begin{aligned} t_R^{p^*} \int_{B_R(0)} |\nabla \bar{u}_R(x)|^{p(x)} dx &\geq \int_{B_R(0)} |t_R \bar{u}_R(x)|^{q(x)} dx \geq t_R^{q^*} \int_{B_R(0) \setminus D} |\bar{u}_R(x)|^{q_0} dx \\ &= t_R^{q^*} \left( \int_{B_R(0)} |\bar{u}_R(x)|^{q_0} dx - \int_{B_R(0) \cap D} |\bar{u}_R(x)|^{q_0} dx \right), \end{aligned}$$

which implies

$$t_R^{q^* - p^*} \leq \frac{\int_{B_1(0)} R^{\frac{q_0(p_0 - p(Rx))}{q_0 - p_0}} |\nabla \bar{u}_1(x)|^{p(Rx)} dx}{\int_{B_1(0)} |\bar{u}_1(x)|^{q_0} dx - \sup\{\int_A |\bar{u}_1(x)|^{q_0} dx : A \subset B_1(0), |A| \leq |B_1(0)| - \delta\}}.$$

Let  $r_0 > 0$  such that  $p(x) > p_0$  for all  $x \in \mathbf{R}^N$  with  $|x| \geq r_0$ . By (5.6) and the boundedness of  $|\nabla \bar{u}_1|$ , we have for  $R \geq r_0$ ,

$$\begin{aligned} \int_{B_1(0)} R^{\frac{q_0(p_0 - p(Rx))}{q_0 - p_0}} |\nabla \bar{u}_1(x)|^{p(Rx)} dx &\leq C \left( \int_{|x| < r_0/R} R^{\frac{q_0(p_0 - p(Rx))}{q_0 - p_0}} dx \right. \\ &\quad \left. + \int_{r_0/R \leq |x| \leq 1} R^{\frac{q_0(p_0 - p(Rx))}{q_0 - p_0}} dx \right) \leq C \left( R^{\frac{q_0(p_0 - p^*)}{q_0 - p_0}} \left(\frac{r_0}{R}\right)^N + 1 \right) \leq C, \end{aligned}$$

where each  $C$  is a positive constant which is independent of  $R$ . Hence we insist that  $\{t_R : R \geq R_2\}$  is bounded. Then we have

$$\begin{aligned} \int_{B_R(0)} \left( \frac{1}{p(x)} |\nabla(t_R \bar{u}_R(x))|^{p(x)} - \frac{1}{q(x)} |t_R \bar{u}_R(x)|^{q(x)} \right) dx &\leq C \int_{B_R(0)} |\nabla \bar{u}_R(x)|^{p(x)} dx \\ &= C \int_{B_1(0)} R^{-\frac{q_0 p(Rx)}{q_0 - p_0} + N} |\nabla \bar{u}_1(x)|^{p(Rx)} dx \leq C \left( R^{-\frac{q_0 p^*}{q_0 - p_0} r_0^N} + R^{-\frac{q_0 p_0}{q_0 - p_0} + N} \right) \rightarrow 0 \end{aligned}$$

as  $R \rightarrow \infty$ . Hence we can find  $R \geq R_2$  satisfying

$$\int_{B_R(0)} \left( \frac{1}{p(x)} |\nabla(t_R \bar{u}_R(x))|^{p(x)} - \frac{1}{q(x)} |t_R \bar{u}_R(x)|^{q(x)} \right) dx < \inf_{v \in \mathcal{N}_{J_{\mathbf{R}^N}}} J_{\mathbf{R}^N}(v).$$

Now, let  $\Omega$  be any bounded open set which contains  $B_R(0)$ . Extending  $\bar{u}_R$  on  $\Omega$  with  $\bar{u}_R(x) = 0$  for  $x \in \Omega \setminus B_R(0)$ , we have  $\bar{u}_R \in W_0^{1,p(\cdot)}(\Omega)$ . Letting  $I, J, \mathcal{N}_I$  and  $\mathcal{N}_J$  be as in the previous proposition, we have

$$\inf_{v \in \mathcal{N}_I} I(v) \leq I(t_R \bar{u}_R) < \inf_{v \in \mathcal{N}_{J_{\mathbf{R}^N}}} J_{\mathbf{R}^N}(v) \leq \inf_{v \in \mathcal{N}_J} J(v).$$

Hence problem (1.3) has a nontrivial nonnegative weak solution on  $\Omega$  by the proposition.  $\square$

Finally, we give a sufficient condition for (5.4). We recall the following result, which is a special case of [6, Theorem 1.8].

**Lemma 5.3.** Let  $p(\cdot): \mathbf{R}^N \rightarrow \mathbf{R}$  be a log-Hölder continuous function which satisfies  $1 < p_* \leq p^* < N$  and

$$|p(x) - p(y)| \leq \frac{C}{\log(e + |x|)} \quad \text{for each } x, y \in \mathbf{R}^N \text{ with } |y| \geq |x|.$$

Then the fractional integral operator

$$u \mapsto \int_{\mathbf{R}^N} \frac{u(y)}{|x - y|^{N-1}} dy$$

is bounded from  $L^{p(\cdot)}(\mathbf{R}^N)$  to  $L^{p_1^\sharp(\cdot)}(\mathbf{R}^N)$ .

**Corollary 5.4.** Let  $p(\cdot): \mathbf{R}^N \rightarrow \mathbf{R}$  be as in the previous lemma, and let  $D$ ,  $q_0$  and  $q(\cdot)$  be as in Theorem 5.2. Then there exists  $R > 0$  such that for each bounded open set  $\Omega$  in  $\mathbf{R}^N$  which contains  $B_R(0)$ , problem (1.3) has a nontrivial nonnegative weak solution.

*Proof.* Using the previous lemma, we can show that  $\mathcal{D}^{1,p(\cdot)}(\mathbf{R}^N)$  is continuously embedded into  $L^{p_1^\sharp(\cdot)}(\mathbf{R}^N)$  by similar lines as those in [35, p. 88]. Hence we obtain the conclusion by Theorem 5.2.  $\square$

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