# ANNALES ACADEMIAE SCIENTIARUM FENNICAE

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# I. MATHEMATICA

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# ON IRREDUCIBLE MODULES OF A LIE ALGEBRA WHICH ARE COMPOSED OF FINITE-DIMENSIONAL MODULES OF A SUBALGEBRA

 $_{\rm BY}$ 

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HELSINKI 1975 SUOMALAINEN TIEDEAKATEMIA

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doi:10.5186/aasfm.1975.598

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Communicated 19 September 1974

KESKUSKIRJAPAINO HELSINKI 1975

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## 1. Introduction

Let G be a Lie algebra and K a subalgebra of G. If K is semisimple (or at least reductive) then the finite-dimensional K-modules are well-known. We can then pose the following question: What are the irreducible G-modules which, when regarded as a K-module, are direct sums of irreducible finite-dimensional K-modules? We call such modules K-finite.

This problem has been extensively studied in the following special case (see e.g. [1]-[3], [5], [7]): Let  $\mathscr{G}$  be a non-compact semisimple Lie group and let  $\mathscr{K}$  be the maximal compact subgroup of  $\mathscr{G}$ . Let G (resp. K) be the Lie algebra of  $\mathscr{G}$  (resp.  $\mathscr{K}$ ). As was shown by Harish-Chandra, study of unitary irreducible representations of  $\mathscr{G}$  in a Hilbert space leads in a natural way to a study of irreducible K-finite G-modules.

In this paper G is an arbitrary (finite-dimensional) complex Lie algebra and K is a semi-simple (or reductive) subalgebra of G. The work is divided into two parts. In section 3 we study irreducible G-modules admitting a vector of maximal weight  $\lambda$  with respect to a Cartan subalgebra  $H_T$ of G such that  $H = K \cap H_T$  is a Cartan subalgebra of K. We prove that for a »special» subalgebra K (Definition 3.7) and for any weight  $\lambda$ such that the restriction  $\lambda|_H$  is a dominant integral weight of K there exists a unique equivalence class of K-finite G-modules which have the maximal weight  $\lambda$ .

In section 4 we study irreducible *G*-modules *V* with the help of the minimal component  $V_{\min}$  of *V*; if  $\alpha$  is a dominant integral weight of *K* we denote by  $V_{\alpha}$  the sum of all irreducible finite-dimensional *K*-modules in *V* which have  $\alpha$  as their maximal weight; by definition  $V_{\min} = V_{\alpha}$  if  $V_{\alpha} \neq 0$  and  $V_{\beta} = 0$  for all  $\beta < x$ . Let rank  $G = \operatorname{rank} K$ . We prove that if  $\alpha$  is »large enough» (see Definition 4.1) then there exists a unique equivalence class [V] of irreducible *K*-finite *G*-modules *V* such that  $V_{\min} = V_{\alpha}$ . Such *G*-modules are called discrete because they are completely characterized by the weight  $\alpha$  i.e. by a sequence of integers consisting of the components of  $\alpha$ .

We take profit at crucial steps (Theorem 3.9 and Lemma 4.7) of the results of J. Lepowsky and G. W. McCollum, [6]: If V is a G-module

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such that  $V_{\alpha} \neq 0$  for some dominant integral weight  $\alpha$  and V is generated by  $V_{\alpha} (V = \mathcal{E}(C)V_{\alpha}$  where  $\mathcal{E}(G)$  is the enveloping algebra of G) then V is K-finite. In addition V is completely determined by the action of  $\mathcal{E}(K) C$  on  $V_{\alpha}$  where C is the centralizer of K in  $\mathcal{E}(G)$ . These results have earlier been obtained by Harish-Chandra, [1] and [2], in case G is semi-simple.

See also for related recent results by van den Hombergh in »A note on Mickelsson's step algebra» and »On some Harish-Chandra modules» (to appear in Indagationes Mathematicæ).

The author would like to thank Professor Kalevi Suominen for reading the manuscript and suggesting improvements.

#### 2. Notation

In this paper all Lie algebras are finite-dimensional. All algebras and vector spaces are over **C**, the field of complex numbers. If A is an algebra, V an A-module, S a subset of A and X a subset of V we denote by SX the subset  $\{sx \mid s \in S, x \in X\}$  of V.

We denote by  $V^*$  the dual space of a vector space V.

Let K be a semi-simple Lie algebra, H a Cartan subalgebra of K and  $\langle , \rangle$  the Killing form on K. Because  $\langle , \rangle$  is non-degenerate, there exists (for each  $\alpha \in H^*$ ) an element  $h_{\alpha} \in H$  such that  $\langle h_{\alpha}, h \rangle = \alpha(h)$ for all  $h \in H$ . Let (,) be the symmetric non-degenerate bilinear form on  $H^*$  defined by  $(\alpha, \beta) = \langle h_{\alpha}, h_{\beta} \rangle$ .

For any Lie algebra G, we denote by  $x \mapsto \operatorname{ad} x$  the adjoint representation of G,  $\operatorname{ad} x(y) = [x, y]$ .

If L is any Lie algebra then  $\mathcal{C}(L)$  is the universal enveloping algebra of L. If L' is a subalgebra of L then  $\mathcal{C}(L')$  can be identified in a natural way with a subalgebra of  $\mathcal{C}(L)$ .

If  $\{x_1, x_2, \ldots, x_n\}$  is a basis of L then the monomials  $x_{i(1)}x_{i(2)} \ldots x_{i(k)}$  $(i(1) \leq i(2) \leq \ldots \leq i(k))$  along with **1** give a basis of  $\mathcal{C}(L)$  (Poincaré-Birkhoff-Witt theorem).

For any subset S of  $\mathscr{C}(L)$  we denote by  $\mathscr{P}(S)$  the left ideal generated by S,  $\mathscr{P}(S) = \mathscr{C}(L)S$ .

Let  $\mathscr{B}$  be an associative algebra,  $\mathscr{A}_1$  an ideal of  $\mathscr{B}$  and  $V \neq \mathscr{B}/\mathscr{A}_1$ -module. If  $\mathscr{A}_2$  is any ideal of  $\mathscr{B}$  such that  $\mathscr{A}_2 \subset \mathscr{A}_1$  then by the extension of V into a  $\mathscr{B}/\mathscr{A}_2$ -module we mean the  $\mathscr{B}/\mathscr{A}_2$ -module V, where the action of  $\mathscr{B}/\mathscr{A}_2$  is defined by

$$(b + \mathcal{A}_2)v = i(b)v$$
;  $b \in \mathcal{B}$ ,  $v \in V$ ,

where  $i: \mathcal{B} \to \mathcal{B}/\mathcal{A}_1$  is the canonical projection.

#### 3. Modules with maximal weight

If L is any Lie algebra and  $H_L$  a Cartan subalgebra of L then we can write

$$L = H_L \oplus \bigoplus_{lpha 
eq 0} L_{lpha}$$

where  $L_{\alpha}$  is the root subspace corresponding to the non-zero root  $\alpha$ . Because we assume L to be finite-dimensional, the sum is finite. By definition  $L_{\alpha}$  consists of all elements  $x \in L$  such that

$$(ad h - \alpha(h))^n x = 0$$
 for some positive integer  $n$ .

Let  $H_L^*$  be the dual of  $H_L$  and  $\{h_1, h_2, \ldots, h_l\}$  a fixed basis of  $H_L$ . Let  $\lambda, \mu \in H_L^*$  with  $\lambda \neq \mu$ . We say that  $\lambda$  is bigger than  $\mu \ (\lambda > \mu)$  if the first non-zero number in the sequence

$$\lambda(h_1) - \mu(h_1)$$
, ...,  $\lambda(h_l) - \mu(h_l)$ 

is of the form x + iy with x > 0 or x = 0 and y > 0. We denote by  $L_{+}(L_{-})$  the solvable subalgebra of L generated by the subspaces  $L_{\alpha}$  with  $\alpha > 0$  ( $\alpha < 0$ ).

**Definition 3.1.** Let V be an L-module. We denote by  $V^+$  the subspace of V consisting of all vectors v with the property xv = 0 for all  $x \in L_+$ . We say that V is bounded above if  $1 \leq \dim V^+ < \infty$ .

**Lemma 3.2.** If V is an irreducible L-module which is bounded above then dim  $V^+ = 1$  and there exists  $\lambda \in H_L^*$  such that  $hv = \lambda(h)v$  for each  $v \in V^+$  and  $h \in H_L$ . (We say that  $\lambda$  is the maximal weight of V and v is a maximal vector.)

*Proof.* Because  $1 \leq \dim V^+ < \infty$  and  $H_L$  is nilpotent there is a common eigenvector  $v \in V^+$  of all  $h \in H_L$  ( $V^+$  is clearly  $H_L$ -invariant). Because of the irreducibility of V and of the Poincaré-Birkhoff-Witt theorem we have  $V = \mathcal{C}(L_-)v$ . If v' = uv,  $u \in \mathcal{C}(L_-)L_-$ , is another vector in  $V^+$  then

$$V' = \mathfrak{E}(L)v' = \mathfrak{E}(L_{-})\mathfrak{E}(H_{L})v'$$

is a non-trivial  $(v \notin V')$  invariant subspace if  $v' \neq 0$ . Thus v' = 0 and  $\{v\}$  is a basis of  $V^+$ .

**Definition 3.3.** Let V be an L-module. For each  $\alpha \in H_L^*$  the weight subspace  $V_{\alpha}$  consists of all vectors  $v \in V$  for which

 $(h - \alpha(h))^n v = 0$  for all  $h \in H_L$  and for some positive integer n.

**Theorem 3.4.** Let L be a Lie algebra and let  $H_L$  be a Cartan subalgebra of L. For each  $\lambda \in H_L^*$  such that  $\lambda|_{[H_L, H_L]} = 0$  there exists a unique equivalence class of irreducible L-modules which are bounded above and have maximal weight  $\lambda$ . Any such an L-module is a direct sum of weight subspaces of finite dimension.

*Proof.* We define  $W^{\lambda} = \mathcal{E}(L)/\mathcal{I}_{\lambda}$  where  $\mathcal{I}_{\lambda}$  is an ideal,

$$\mathcal{I}_{\lambda} = \mathcal{I}(L_{+}) + \mathcal{I}(\{h - \lambda(h) \cdot \mathbf{1} \mid h \in H_{L}\}).$$

 $W^{\lambda}$  is an  $L_{-}$  (and  $\mathcal{C}(L)-$  ) module in a natural way. Let  $v_{0}=\mathbf{1}+\mathcal{J}_{\lambda}.$  Then

$$L_+v_0 = 0$$
,  $hv_0 = \lambda(h)v_0$   $(h \in H_L)$ ,  $W^{\lambda} = \mathcal{E}(L_-)v_0$ .

It follows that a basis of  $W^{\lambda}$  is given by vectors of the type

(\*) 
$$e_{\beta_1}e_{\beta_2}\ldots e_{\beta_k}v_0 \ (k=0, 1, 2, \ldots)$$

where  $e_{\beta_i}$  (i = 1, 2, ..., k) is any element of some fixed basis of  $L_{\beta_i}$ and  $0 > \beta_1 \ge \beta_2 \ge ... \ge \beta_k$  are negative roots of L. Let  $W_{\alpha}^{\lambda}$  be the subspace of  $W^{\lambda}$  spanned by the vectors (\*) for which

$$lpha = eta_1 + \ldots + eta_k + \lambda$$
 .

We show by induction on k than  $W^{\lambda}_{\alpha}$  is a weight subspace with weight  $\alpha$ . Assume that the vector v has weight  $\beta$ ,

$$(h - \beta(h))^n v = 0$$
 for all  $h \in H_L$ .

Let  $\gamma$  be a root and  $e_{\gamma} \in L_{\gamma}$ ,

$$(\text{ad } h - \gamma(h))^m e_{\gamma} = 0 \text{ for all } h \in H_L.$$

Then

$$(h-(eta+\gamma)(h))^{n+m}e_{\gamma}v=\sum_{k=0}^{n+m}(\mathrm{ad}\;h-\gamma(h))^{k}e_{\gamma}\cdotinom{n+m}{k}\cdot (h-eta(h))^{n+m-k}v=0\;.$$

Thus the weight of  $e_{\gamma}v$  is  $\beta + \gamma$ . It follows that each  $v \in W_{\alpha}^{\lambda}$  is of weight  $\alpha \leq \lambda$ . It is clear that dim  $W_{\alpha}^{\lambda} < \infty$  and each vector of weight  $\alpha$  belongs to  $W_{\alpha}^{\lambda}$ . Note that  $W_{\lambda}^{\lambda}$  is spanned by the vector  $v_0 = \mathbf{1} + \gamma_{\lambda}$ . Let  $N^{\lambda}$  be the sum of all invariant subspaces in  $W^{\lambda}$  which do not contain  $v_0$ . Then  $v_0 \notin N^{\lambda}$  and we define

$$V^{\lambda}=W^{\lambda}/N^{\lambda}$$
 .

The *L*-module  $V^{\lambda}$  is irreducible, has  $v_0 + N^{\lambda}$  as the maximal vector and  $\lambda$  is the maximal weight. The uniqueness part of the proof goes as in the case of a semisimple Lie algebra (see [4, p. 109]).

Let G be a Lie algebra, K a semi-simple subalgebra of G and H a Cartan subalgebra of K. Because K is semi-simple, there exists a subspace T in G such that  $G = K \oplus T$  and  $[K, T] \subset T$ . We denote by  $T_0$  the null component of H in T,

$$T_{\mathbf{0}} = \{ x \in T \mid [h, x] = 0 \quad \forall h \in H \}.$$

**Lemma 3.5.** Let  $H_T$  be a Cartan subalgebra of the Lie algebra  $H + T_0 \subset G$ . Then  $H \subset H_T$  and  $H_T$  is even a Cartan subalgebra of G. *Proof.* There exists  $x \in H + T_0$  such that

$$H_T = \{y \in H + T_0 \mid (\text{ad } x)^n y = 0 \text{ for some } n \in N\},\$$

[4, pp.79-80]. Now [x, H] = 0 for all  $x \in H + T_0$ , thus  $H \subset H_T$ . Next let S be the normalizer of  $H_T$  in G. From  $[S, H_T] \subset H_T$  it follows that [S, H] = 0 and therefore  $S \subset H + T_0$ . Because  $H_T$  is a Cartan subalgebra of  $H + T_0$  it follows that  $S = H_T$  and we can conclude that  $H_T$  is a Cartan subalgebra of G.

Let  $\Phi$  be the set of roots of K relative to  $H, \Delta \subset \Phi$  is a set of simple roots and  $\Phi^+$  (resp.  $\Phi^-$ ) is the set of positive (resp. negative) roots with respect to  $\Delta$ . Next we divide T into weight subspaces,

$$T_{\lambda} = \{x \in T \mid [h, x] = \lambda(h) x, \forall h \in H\}.$$

We denote by  $\Psi$  the set of weights of K in T,  $\Psi^+$  (resp.  $\Psi^-$ ) is the set of positive (resp. negative weights in  $\Psi$  relative to an ordered basis  $\{h_1, \ldots, h_l\}$  of H which is dual to the basis  $\{h_{\alpha_1}, \ldots, h_{\alpha_l}\}$ ,

$$\langle lpha_i(h_j) = \langle h_{lpha_i} \ , \ h_j 
angle = \delta_{ij} \ .$$

Here  $\alpha_1, \ldots, \alpha_l$  are the distinct simple roots of K.

**Definition 3.6.** The semi-simple subalgebra K of G is a special subalgebra if

$$\mathbf{N}(\{\alpha\}) \cap \mathbf{N}(\Psi^+) = \{0\}$$

for all  $\alpha \in \Delta$ . If  $\Omega \subset H^*$  is any subset, we denote by  $\mathbf{N}(\Omega)$  the linear span of  $\Omega$  with non-negative integral coefficients.

*Example* 3.7. Let  $G = \text{gl}(n, \mathbf{C})$ , the Lie algebra with basis  $\{e_{ij}\}_{i,j=1}^{n}$  and commutation relations

$$[e_{ij}, e_{kl}] = \delta_{jk}e_{il} - \delta_{il}e_{kj}$$
.

Let K be the subalgebra spanned by the vectors  $(2 \le p \le n-2)$ 

$$e_{ij}\,,\,\,i
eq j\,,\,\,1\leq i\,,j\leq p\,;\,\,e_{ii}-e_{i+1\,i+1}\,,\,\,i=1\,,2\,,\ldots,p-1\,;$$

and

$$e_{ij}\,,\,i
eq j\,,\,p+1\leq i\,,j\leq n\,;\,e_{ii}-e_{i+1\,i+1}\,,\,\,i=p+1\,,\,p+2\,,\ldots\,,n{-}1.$$

Note that K is isomorphic to  $A_{p-1} \oplus A_{n-p-1}$ . As H we can take the subalgebra of K spanned by the vectors  $e_{ii} - e_{i+1\,i+1}$ ,  $1 \leq i \leq n-1$ ,  $i \neq p$ . It is easily seen that in this case

$$T_0 = \{a\sum\limits_{i=1}^{p}e_{ii} + b\sum\limits_{i=p+1}^{n}e_{ii} \mid a \text{ , } b \in \mathbf{C}\}$$

and  $H_T = H + T_0$ . Using the properties of the roots of the classical simple Lie algebras  $A_l$  it is not difficult to verify that K is special.

We return to the general case. Let K be a special subalgebra of Gand  $l = \operatorname{rank} K$ ,  $p = \operatorname{rank} G$ . We fix an ordered basis  $\{h_1, h_2, \ldots, h_p\}$ of  $H_T$  such that  $\{h_1, h_2, \ldots, h_l\}$  is the basis of H described above. We define the following subalgebras of G:

$$egin{aligned} G_+ &= K_+ + \sum\limits_{\lambda > 0} T_\lambda + S_+ \ , \ G_- &= K_- + \sum\limits_{\lambda < 0} T_\lambda + S_- \end{aligned}$$

where  $K_+$  (resp.  $K_-$ ) is the subalgebra of K spanned by the vectors belonging to positive (resp. negative) roots of K. We define

$$H + T_0 = S_+ + S_- + H_T$$

to be the corresponding decomposition for  $H + T_0$ . Because of our choice of basis of  $H_T$  (see also Lemma 3.5) it is clear that  $G = G_+ + G_- + H_T$ is a similar decomposition for G relative to the Cartan subalgebra  $H_T$ .

**Definition 3.8.** A G-module V is K-finite if it is a sum of finitedimensional K-modules when considered as a K-module by restriction to K.

Let  $\Lambda$  be the set of dominant integral elements in  $H^*$ :

 $\Lambda = \{\lambda \in H^* \mid (\lambda, \alpha) \text{ is a non-negative integer for all } \alpha \in \Delta\}.$ 

**Theorem 3.9.** Let K be a special subalgebra of G. Then for each  $\lambda \in H_T^*$  such that  $\lambda|_H \in \Lambda$  and  $\lambda|_{[H_T, H_T]} = 0$  there exists a unique equivalence class of K-finite irreducible G-modules which are bounded above and have  $\lambda$  as the maximal weight.

*Proof.* The uniqueness follows from Theorem 3.4. We have to prove the existence. We define an ideal

$$\mathcal{I}_{\lambda} = \mathcal{I}(G_{+}) + \mathcal{I}(\{h - \lambda(h) \cdot \mathbf{1} \mid h \in H_{T}\})$$

and  $W^{\lambda} = \mathscr{C}(G)/\mathscr{P}_{\lambda}$ . Consider the subset  $S_{\lambda}$  of  $W^{\lambda}$ ,

$$S_{\lambda} = \{ e_{-\alpha}^{n_{\alpha}+1} + \mathcal{I}_{\lambda} \mid \alpha \in \varDelta \}$$

where  $e_{-\alpha}$  belongs to the root  $-\alpha$  and

$$n_{lpha} = 2 \cdot rac{(\lambda|_H, \alpha)}{(lpha, lpha)}, \ lpha \in arDelta$$
 .

Let  $U^{\lambda} = \mathscr{C}(G) S_{\lambda}$  be the submodule of  $W^{\lambda}$  generated by  $S_{\lambda}$ . We claim that  $U^{\lambda}$  does not contain the vector  $\mathbf{1} + \mathcal{I}_{\lambda}$ . It is well-known that  $S_{\lambda}$ .

is annihilated by  $K_+$  (see [4, p. 115]). Now  $W^{\lambda}$  is a direct sum of weight subspaces,  $W^{\lambda}_{\lambda}$  is spanned by the vector  $\mathbf{1} + \mathcal{I}_{\lambda}$  and  $\lambda$  is the highest weight in  $W^{\lambda}$  (compare the proof of Theorem 3.4). Suppose that  $\mathbf{1} + \mathcal{I}_{\lambda} \in U^{\lambda}$ ; using the Poincaré-Birkhoff-Witt theorem it is easily seen that then there exists  $\beta_1, \beta_2, \ldots, \beta_k \in \Psi^+$  such that

$$\beta_1 + \beta_2 + \ldots + \beta_k - (n_\alpha + 1) \cdot \alpha = 0$$

for at least one weight  $\alpha \in \Delta$ . But this is impossible because K is a special subalgebra of G.

Let again  $N^{\lambda}$  be the sum of all invariant subspaces of  $W^{\lambda}$  not containing the vector  $\mathbf{1} + \mathcal{D}_{\lambda}$ . It is clear that  $U^{\lambda} \subset N^{\lambda}$ . We define

$$V^{\lambda} = W^{\lambda}/N^{\lambda}$$

The *G*-module  $V^{\lambda}$  is irreducible and has a maximal vector  $v = \mathbf{1} + \mathcal{D}_{\lambda} + N^{\lambda}$  of weight  $\lambda$ . Furthermore,  $V^{\lambda}$  contains a finite-dimensional *K*-module, namely  $\mathcal{E}(K)v$  ([4, p. 115]). It follows from proposition 4.2, [6], that  $V^{\lambda}$  is *K*-finite. (See also [1, Theorem 1.])

### 4. Discrete G-modules

If not otherwise stated, the notation of the previous sections is in force also in this section.

Let C be the centralizer of K in  $\mathcal{E}(G)$ . The algebra C is a finitely generated subalgebra of  $\mathcal{E}(G)$  (see [8, p. 162, Theorem 2.3.1.4]).

Let an ordered basis  $\{t_1, t_2, \ldots, t_r\}$  be given for the subspace T of G, such that

$$[h, t_i] = \lambda_i(h)t_i, \ h \in H, \ i = 1, 2, \ldots, r$$
,

where  $\lambda_i \in \Psi$  (i = 1, 2, ..., v) and  $\lambda_1 \ge \lambda_2 \ge ... \ge \lambda_r$ . We complete this to an ordered basis of G,

$$\{t_i, e_{\alpha_i}, h_i, e_{\beta_i}\}$$

where the order is defined through the ordering of roots,

$$lpha_1 < lpha_2 < \ldots < lpha_q < 0 < eta_1 < eta_2 < \ldots < eta_q$$
,

and through a labelling of the basis elements  $h_i$  of H. According to the Poincaré-Birkhoff-Witt theorem this ordering induces a basis for  $\mathcal{E}(G)$  by ordered monomials in the basis elements of G. If  $u \in \mathcal{E}(G)$  is such a basis vector we denote by deg (u) the number of vectors  $t_i$  contained in u. If  $v \in \mathcal{E}(G)$  is an arbitrary (finite) linear combination of ordered monomials,

$$v = \sum_{k=1}^n a_k u_k \ (a_k \in \mathbf{C}) ,$$

we define deg  $(v) = \max_{k=1,\ldots,n} \deg (u_k).$ 

**Definition 4.1.** Let  $c_1, c_2, \ldots, c_{\zeta}$  be a generating sequence of C. We define

$$n_c = \max_{k=1,2,\ldots,\zeta} \deg (c_k)$$
.

We call an element  $\lambda$  of  $\Lambda$ , the set of dominant integral weights of K, large if

$$\lambda + \omega_1 + \omega_2 + \ldots + \omega_k \in \Lambda ext{ for all } \omega_i \in \Psi, ext{ } k = 1, 2, \ldots, n_c$$
 .

Let V be any G-module. Consider V as a K-module by restriction. For any  $\lambda \in \Lambda$  we denote by  $V_{\lambda}$  the sum of all irreducible finite-dimensional K-submodules of V with maximal weight  $\lambda$ . We define

$$V_{\lambda}^{+} = \{ x \in V_{\lambda} \mid e_{\beta}x = 0 \quad \forall \ \beta \in \Phi^{+} \},$$

the subspace of vectors with maximal weight in  $V_{\lambda}$ ; in other words,

$$V_{\lambda}^{+} = \{ x \in V_{\lambda} \mid hx = \lambda(h)x \quad \forall h \in H \} .$$

We denote by  $\mathcal{D}_{\lambda}$  the annihilator in  $\mathcal{E}(K)$  of the maximal vector in an irreducible finite-dimensional *K*-module with maximal weight  $\lambda$ ; according to [4, p. 115],

$$\mathcal{D}_{\lambda} = \mathcal{J}(K_{+}) + \mathcal{J}(\{h - \lambda(h) \cdot \mathbf{1} \mid h \in H\}) + \mathcal{J}(\{e_{-\alpha}^{n_{\alpha}+1} \mid \alpha \in \varDelta\})$$

where  $K_+$  and the numbers  $n_{\alpha}$  are defined as in section 3.

For all  $\beta$  and  $\alpha$  in  $\Lambda$  we define  $A_{\beta,\alpha}$  to be the subset of  $\mathcal{E}(G)$  for which

$$A_{\beta,\,\alpha}\,V_{\alpha}^{+} \subset V_{\beta}^{+}$$

for any G-module V.

Lemma 4.2.  $A_{\beta,\alpha} = \{ u \in \mathscr{E}(G) \mid \Im_{\beta} u \subset \mathscr{E}(G) \Im_{\alpha} \}.$ 

*Proof.* Let V be a G-module such that  $V_{\alpha} \neq 0$ . Any such G-module is a factor module of the left-module  $\mathcal{E}(G)/\mathcal{E}(G)/\mathcal{A}_{\alpha}$ . It follows that

$$A_{\beta,\alpha} = \{ u \in \mathscr{C}(G) \mid u \ V_{\alpha}^+ \subset \ V_{\beta}^+ \}$$

where  $V = \mathcal{E}(G)/\mathcal{E}(G)\mathcal{I}_{\alpha}$ . Let now  $u \in A_{\beta,\alpha}$ . If  $x = \mathbf{1} + \mathcal{E}(G)\mathcal{I}_{\alpha}$  then  $x \in V_{\alpha}^+$  and

$$ux = u + \mathscr{E}(G)\mathfrak{I}_{\alpha} \in V_{\beta}^{+}$$

and therefore  $\mathcal{D}_{\beta}u \subset \mathcal{E}(G)\mathcal{D}_{\alpha}$ . To prove the converse, assume that  $\mathcal{D}_{\beta}u \subset \mathcal{E}(G)\mathcal{D}_{\alpha}$ . Let  $x \in V_{\alpha}^+$ . Then

$$\mathcal{D}_{\mathfrak{s}}ux \subset \mathscr{C}(G)\mathcal{D}x = 0.$$

It follows that  $\mathscr{C}(K)ux$  is a finite-dimensional K-module with ux as the vector of maximal weight (which is  $\beta$ ) and thus  $ux \in V_{\beta}^+$ .

**Lemma 4.3.** Let  $\omega$  be an element of  $\Lambda$  such that  $\omega + \lambda \in \Lambda$  for any  $\lambda \in \Psi$ . Then for each  $t_i \in T$  there exists  $u \in A_{\omega+\lambda_i,\omega}$  of the form

$$u = t_i + \sum_{j, \lambda_j > \lambda_i} t_j v_j$$

where  $v_j \in \mathcal{C}(K_-)$ .

Proof. We can write

$$(*) T = \bigoplus_{v} T^{(v)}$$

where  $T^{(v)}$  is the irreducible component of T under the adjoint action of K, with maximal weight v. We can assume that the basis  $\{t_j\}_{j=1}^r$  of T is chosen in such a way that it is compatible with the decomposition (\*); thus we may assume that  $t_i \in T^{(v)}$  for some weight v.

Put  $D_{\omega} = \mathcal{E}(K)/\mathcal{D}_{\omega}$  and consider the tensor product  $T^{(r)} \otimes D_{\omega}$ , which is a K-module under the diagonal action:

$$k(x\otimes y)=[k\ ,x]\otimes y+x\otimes ky;\ k\in K$$
 ,  $x\in T^{(r)}$  ,  $y\in D_{\omega}$  .

It is known that the module  $T^{(v)} \otimes D_{\omega}$  contains an irreducible submodule with maximal weight  $\omega + \lambda_i$  (note that  $\omega + \lambda \in \Lambda$  for any weight  $\lambda$ in  $T^{(v)}$ ) with a multiplicity which is equal to the multiplicity  $m(\lambda_i, v)$ of the weigh  $\lambda_i$  in  $T^{(v)}$ ; in other words there are  $m(\lambda_i, v)$  linearly independent vectors in  $T^{(v)} \otimes D_{\omega}$  which are annihilated by  $\Im_{\omega+\lambda_i}$ (see e.g. [4, pp. 141–142]). It follows that for each  $t_i \in T_{\lambda_i}^{(v)} = T_{\lambda_i} \cap T^{(v)}$ there exists a nonzero element  $u_0$  of  $T^{(v)} \otimes D_{\omega}$  of the form

$$u_{\mathbf{0}} = t_{i} \otimes (a \cdot \mathbf{1} + \mathfrak{I}_{\omega}) + \sum_{\substack{j \\ i_{j} > i_{i}}} t_{j} \otimes (v_{j} + \mathfrak{I}_{\omega}),$$
$$a \in \mathbf{C}, \quad v_{j} \in \mathfrak{C}(K_{-}),$$

such that  $u_0$  is annihilated by  $\mathcal{D}_{\omega+\lambda_i}$ . We define  $v_i = a \cdot \mathbf{1}$  and let k be the smallest value of the index j for which  $v_j \notin \mathcal{D}_{\omega}$ ; because of

$$e_{\alpha}u_{0}=0$$
 for all  $\alpha\in\Phi^{+}$ ,

we have  $e_{\alpha}v_k \in \mathcal{D}_{\omega}$  for all  $\alpha \in \Phi^+$ . Now any vector in  $D_{\omega}$  which is annihilated by  $K_+$  is a multiple of  $\mathbf{1} + \mathcal{D}_{\omega}$ ; thus k = i and  $a \neq 0$ . We may assume that a = 1 (multiply  $u_0$  by  $a^{-1}$ ).

Consider the linear mapping

$$\varphi: T^{(\nu)} \otimes D_{\omega} \to {}^{\mathcal{C}}(G)/{}^{\mathcal{C}}(G) \, \mathcal{I}_{\omega}$$

induced by the multiplication map  $T^{(r)} \otimes \mathcal{C}(K) \to \mathcal{C}(G)$ . This mapping is a K-module homomorphism; in fact,

$$egin{aligned} &arphi\left(k(t\otimes(v+arphi_{\omega}))
ight) = arphi\left([k\ ,t]\otimes\left(v+arphi_{\omega}
ight)+t\otimes\left(kv+arphi_{\omega}
ight)
ight) \ &= [k\ ,t]v+arepsilon(G)\,arphi_{\omega}+tkv+arepsilon(G)\,arphi_{\omega}=ktv+arepsilon(G)\,arphi_{\omega} \ &= k\,arphi\left(t\otimes\left(v+arphi_{\omega}
ight)
ight), \end{aligned}$$

for all  $k \in K$ ,  $t \in T^{(v)}$  and  $v \in \mathcal{C}(K)$ . Let  $u \in \mathcal{C}(G)$ ,

$$u = t_i + \sum_{j, \lambda_j > \lambda_i} t_j v_j$$
.

Then  $\varphi(u_0) = u + \mathscr{E}(G) \, \mathscr{D}_{\omega}$  and therefore  $\mathscr{D}_{\omega+\lambda_i} u \subset \mathscr{E}(G) \, \mathscr{D}_{\omega}$ . In other words (Lemma 4.2),  $u \in A_{\omega+\lambda_i,\omega}$ .

We denote by P the projection  $P : \mathcal{E}(G) \to \mathcal{E}(G)$  such that Ker  $P = \mathcal{E}(G)\mathcal{I}_{\alpha} + U_1\mathcal{E}(K_-)$  and  $P(\mathcal{E}(G)) = U_1$  where  $U_1$  consists of the elements

$$b \cdot \mathbf{1} + \sum a_{i_1 \cdots i_k} t_{i_1} \cdots t_{i_k}$$

where b,  $a_{i_1...i_k} \in \mathbf{C}$  and  $i_1 \leq \ldots \leq i_k$ .

**Lemma 4.4.** Let  $u_1, u_2 \in A_{\beta, \alpha}$  such that  $P(u_1) = P(u_2)$ . Then

$$u_1 - u_2 \in \mathcal{E}(G) \mathcal{D}_{\alpha}$$
 .

*Proof.* We shall again use the fact that any vector in  $\mathcal{E}(K)/\mathcal{D}_{\alpha}$  which is annihilated by  $K_{+}$  is a multiple of  $\mathbf{1} + \mathcal{D}_{\alpha}$ . First we write

$$u_1 - u_2 = w + t_{j_1} \dots t_{j_k} v + \sum_{\langle m, i_y \rangle} t_{i_1} \dots t_{i_m} v_{i_1 \dots i_m}$$

where each term is a sum of ordered monomials and  $w \in \mathcal{C}(G) \mathcal{D}_{\omega}$ , v and  $v_{i_1...i_m} \in \mathcal{C}(K_-)$  and

$$\lambda_{i_1} + \ldots + \lambda_{i_m} \geq \lambda_{j_1} + \ldots + \lambda_{j_k}$$

If m = k then  $j_{\nu} \neq i_{\nu}$  for at least one value of the index  $\nu$ . From  $K_{+}(u_{1} - u_{2}) \in \mathcal{E}(G) \mathcal{D}_{\alpha}$  it follows that

$$K_+ v \subset \mathcal{D}_{\alpha}$$

and thus  $v \in \mathcal{O}_{\alpha}$  ( $v \notin a \cdot 1 + \mathcal{O}_{\alpha}$  for any  $a \neq 0$  because of  $P(u_1 - u_2) = 0$ ). By induction it follows that the coefficient of any  $t_{i_1} \dots t_{i_m}$  belongs to  $\mathcal{O}_{\alpha}$  and therefore  $u_1 - u_2 \in \mathcal{C}(G) \mathcal{O}_{\alpha}$ .

It is clear that Lemma 4.4 is valid also if we replace  $A_{\beta,\alpha}$  by

$$A_{lpha} = \sum_{eta} A_{eta, lpha}$$

**Lemma 4.5.** Let  $\alpha \in \Lambda$  be large. Then any  $u \in A_{\alpha}$  such that deg  $(u) \leq n_{c}$  can be written in the form

$$u = v + a \cdot \mathbf{1} + \sum_{k, \langle i_{p} \rangle} u_{i_{1}} u_{i_{2}} \dots u_{i_{k}} \quad (a \in C, \quad v \in \mathcal{C}(G) \mathcal{D}_{\alpha}, \quad u_{i_{p}} \in \mathcal{C}(G);$$
$$v = 1, 2, \dots, k)$$

where  $k \leq \deg(u)$ ,  $i_1 \leq i_2 \leq \ldots \leq i_k$  and

(\*) 
$$u_{i_{\nu}}u_{i_{\nu+1}}\ldots u_{i_k} \in A_{\delta_{\nu},\alpha}; \delta_{\nu} = \alpha + \lambda_{i_{\nu}} + \ldots + \lambda_{i_k} (\nu = 1, 2, \ldots, k).$$

*Proof.* (1) Let S be the set consisting of finite sequences  $\mathbf{i} = (i_1, i_2, \ldots, i_k)$  where  $k \leq n_c$  and the integers  $i_r$  satisfy the inequalities

$$0 < i_1 \leq i_2 \leq \ldots \leq i_k \leq r = \dim T$$

We denote by e the empty sequence. We define an order in S by putting

$$(i_1\,,\,i_2\,,\,\ldots\,,\,i_k) < (j_1\,,\,j_2\,,\,\ldots\,,\,j_m) \;\; ext{if}\;\;\; k < m \;\; ext{or}\;\; k = m \;\; ext{and}\;\;$$

the first non-zero number in the sequence  $i_1 - j_1$ ,  $i_2 - j_2$ ,... is positive. In addition, for each  $i \in S$  we define

$$t_{i} = t_{i_{1}} \dots t_{i_{k}} \in \mathscr{C}(G)$$

and  $t_e = \mathbf{1} \in \mathcal{C}(G)$ . Let V be the subspace of  $\mathcal{C}(G)$  which has the set  $\{t_i \mid i \in S\}$  as an ordered basis (the order is defined through the ordering of S).

(2) We put  $u^e = \mathbf{1} \in A_{\alpha}$ . From the fact that  $\alpha$  is large and from Lemma 4.3. it follows that for each  $\mathbf{i} = (i_1, i_2, \ldots, i_k) \in S$  there exists

$$u^{i} = u_{1}^{i}u_{2}^{i}\ldots u_{k}^{i} \in A_{\alpha} \quad (u_{\nu}^{i} \in \mathcal{C}(G); \nu = 1, 2, \ldots, k)$$

where each  $u_{\nu}^{i}$  is of the type described in Lemma 4.3,  $P(u_{\nu}^{i}) = t_{i_{\nu}}$  and  $u_{i}$  satisfies the relations (\*). We denote by U the subspace of  $A_{\alpha}$  which has the set

$$\{u^i \mid i \in S\}$$

as an ordered basis.

(3) It is clear that the operator P induces a linear mapping from U into V. Furthermore,

$$P(u^i) = t_i + \text{lower terms}$$

as follows easily from the properties of the  $u^i : s$  (see Lemma 4.3). Thus the matrix representing P is triangular in the ordered basis described above, the diagonal elements being equal to 1. It follows that the inverse of P exists and therefore for each  $u \in A_{\alpha}$ , deg  $(u) \leq n_c$ , there exists  $u' \in U$  such that

$$P(u) = P(u') \; .$$

(Note that  $P(u) \in V$ .) From Lemma 4.4 it follows that there exists  $v \in \mathscr{C}(G) \mathscr{D}_{\alpha}$  such that u = v + u'.

**Lemma 4.6.** Let  $\alpha, \beta \in A$ , and let V be an irreducible G-module such that  $V_{\alpha} \neq 0$ ; then  $V_{\beta}^{+} = A_{\beta, \alpha} V_{\alpha}^{+}$ .

*Proof.* It is sufficient to prove the statement for  $V = \mathcal{E}(G)/\mathcal{E}(G)\mathcal{D}_{\alpha}$ (compare with the proof of Lemma 4.2). Then

$$\begin{split} V_{\beta}^{+} &= \{ u + \mathscr{E}(G) \, \mathscr{D}_{\alpha} \, | \, \mathscr{D}_{\alpha} u \subset \mathscr{E}(G) \, \mathscr{D}_{\alpha} \} \\ &= A_{\beta,\alpha} + \mathscr{E}(G) \, \mathscr{D}_{\alpha} = A_{\beta,\alpha} \, (\mathbf{1} + \mathscr{E}(G) \, \mathscr{D}_{\alpha}) \\ &\subset A_{\beta,\alpha} \, V_{\alpha}^{+} \, . \end{split}$$

The relation  $A_{\beta,\alpha}V_{\alpha}^+ \subset V_{\beta}^+$  follows from the definition of  $A_{\beta,\alpha}$ .

Let C be the centralizer of K in  $\mathcal{C}(G)$ . If V is any G-module then  $V_{\alpha}$  and  $V_{\alpha}^{+}$  are C-modules by restriction of  $\mathcal{E}(G)$  to the subalgebra C; in fact  $V_{\alpha}$  is even a  $\mathscr{C}(K)C$ -module.

**Lemma 4.7.** Let V be an irreducible G-module,  $V_{\alpha} \neq 0$ . Then the equivalence class [V] of V is completely determined by the equivalence class of the C-module  $V_{\alpha}^+$ .  $V_{\alpha}^+$  is an irreducible C-module.

*Proof.* This is an easy consequence of Theorem 5.5, [6]. (Note that the action of  $\mathcal{E}(K)C$  on  $V_{\alpha}$  is completely determined by the action of C on  $V^+_{\alpha}$ .)

Let  $G'_{\alpha}$  be the set of all equivalence classes [V] of irreducible Gmodules V such that  $V_{\alpha} \neq 0$  and  $V_{\alpha} = 0$  for each  $\beta < \alpha$ . We call  $V_{\alpha}$ the minimal component of V. Now an irreducible G-module V is K-finite if and only if  $V_{\chi} \neq 0$  for some weight  $\chi \in A$ , [6, proposition 4.2]. It follows that V is K-finite if and only if V has a minimal component. Thus the set G' of all equivalence classes of irreducible K-finite Gmodules is equal to

$$\bigcup_{\alpha \in \Lambda} G'_{\alpha} .$$

Of course  $G'_{\alpha} \cap G'_{\beta} = \phi$  when  $\alpha \neq \beta$ . Let  $M_{\alpha} = \sum_{\beta < \alpha} A_{\beta, \alpha}$ . If  $[V] \in G'_{\alpha}$  then  $V^+_{\alpha}$  is in a natural way a  $C/C \cap \mathscr{E}(G)M_{\alpha}$ -module. We denote by  $C'_{\alpha}$  the set of all equivalence classes of irreducible  $C/C \cap \mathcal{C}(G)M_{\alpha}$ -modules.

**Theorem 4.8.** The mapping  $V \rightarrow V_{\alpha}^+$  induces a bijection between  $G'_{\alpha}$  and  $C'_{\alpha}$ . *Proof.* If  $[V], [W] \in G'_{\alpha}$  then it is clear that  $V^+_{\alpha}$  and  $W^+_{\alpha}$  are equivalent as C-modules if and only if they are equivalent as  $C/C \cap \mathscr{C}(G)M_{\alpha}$ modules. The injectivity of the mapping follows now from Lemma 4.7.

Let next  $[W] \in C'_{\alpha}$ . We have to show that there exists  $[V] \in G'_{\alpha}$  such that  $V_{\alpha}^+ \simeq W$  as  $C/C \cap \mathscr{C}(G) M_{\alpha}$ -modules. First we extend W to a C- module. Let x be a non-zero element of W, and let  $\mathcal{L}$  be the annihilator of x in C so that  $W = C/\mathcal{L}$ . We define a left ideal of  $\mathcal{E}(G)$  by

$$\mathcal{N} = \{ u \in \mathcal{E}(G) | \mathcal{E}(G)u \cap C \subset \mathcal{L} \}.$$

Consider the *G*-module  $V = \mathcal{C}(G)/\mathcal{N}$ . First we show that *V* is irreducible i.e. the left ideal  $\mathcal{N}$  is maximal. Let  $\mathcal{M} \subset \mathcal{C}(G)$  be a left ideal such that  $1 \notin \mathcal{M}$  and  $\mathcal{M} \subset \mathcal{M}$ . Then

$$\mathcal{L} = C \cap \mathcal{N} \subset C \cap \mathcal{E}(G) \mathcal{M}.$$

Because of the irreducibility of  $W, \mathcal{L}$  is a maximal left ideal in C. Now  $\mathbf{1} \notin C \cap \mathcal{E}(G) \mathcal{N}$  and therefore  $\mathcal{L} = C \cap \mathcal{E}(G) \mathcal{N}$ . From the definition of  $\mathcal{N}$  it follows that  $\mathcal{M} \subset \mathcal{N}$ ; thus  $\mathcal{M} = \mathcal{N}$  and  $\mathcal{N}$  is maximal.

Since  $\mathcal{D}_{\alpha} \subset \mathcal{D}l$  the vector  $\mathbf{1} + \mathcal{D}l \in V$  is annihilated by  $\mathcal{D}_{\alpha}$ , and therefore  $\mathbf{1} + \mathcal{D}l \in V_{\alpha}^+$ . From Lemma 4.7 we conclude that  $V_{\alpha}^+$  consists of vectors  $c + \mathcal{D}l$ ,  $c \in C$ . From  $C \cap \mathcal{D}l = \mathcal{L}$  it then follows that the mapping

$$arphi: V^+_{lpha} 
ightarrow C/\mathscr{L} \ , \ arphi(c+\red{l}) = c+\mathscr{L}$$

is a *C*-linear isomorphism. Thus  $V_{\alpha}^{\perp} \cong W$  as *C*-modules. Next we observe that  $C \cap \mathscr{C}(G) \cap \mathscr{H}_{\alpha} \subset \mathscr{L}$ , so  $\cap \mathscr{H}_{\alpha} \subset \cap \mathscr{H}$  and therefore  $V_{\beta}^{\perp} = A_{\beta,\alpha} \quad V_{\alpha}^{\perp} = 0$  for  $\beta < \alpha$ . It follows that  $[V] \in G'_{\alpha}$ .

By Lemma 3.5, rank K = rank G if and only if  $T_0 = 0$ .

**Theorem 4.9.** Let rank  $G = \operatorname{rank} K$ . Then for any large weight  $\alpha \in \Lambda$  the set  $G'_{\alpha}$  contains exactly one element [V] and dim  $V^+_{\alpha} = 1$ .

Proof. Let c be one of the generators  $c_1, \ldots, c_{\varrho}$  of C (see Definition 4.1). Then  $c \in A_{\alpha}$ , deg  $(c) \leq n_c$  and [H, c] = 0. Then c can be written in the form described in Lemma 4.5. Since [H, c] = 0,  $\lambda_{i_1} + \lambda_{i_2} + \ldots + \lambda_{i_k} = 0$  for each of the products  $u_{i_1}u_{i_2}\ldots u_{i_k}$ . Now  $\lambda_{i_1} \geq \lambda_{i_2} \geq \ldots \geq \lambda_{i_k}$  and  $\lambda_{i_{\varrho}} \neq 0$   $(\nu = 1, 2, \ldots, k)$   $(T_0 = 0)$ ; thus  $\lambda_{i_k} < 0$  and  $u_{i_1}u_{i_2}\ldots u_{i_k} \in \mathcal{M}_{\alpha}$ . It follows that the generators c belong to the subalgebra  $C \cdot \mathbf{1} + C \cap \mathcal{E}(G) \mathcal{M}_{\alpha}$  of C; hence this is true for all  $c \in C$ .

We conclude that the algebra  $C/C \cap \mathcal{E}(G) \mathcal{M}_{\alpha}$  is isomorphic (when  $\alpha$  is large) to the algebra C of complex numbers and therefore there exists exactly one equivalence class of irreducible (non-zero)  $C/C \cap \mathcal{E}(G) \mathcal{M}_{\alpha}$ -modules and the dimension of such a module is equal to one. Theorem 4.8 completes the job.

**Remark 4.10.** The results of this section can be easily extended to the case in which K is a reductive subalgebra of G.

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