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TWO REMARKS CONCERNING DIRICHLET'S  
L-FUNCTIONS AND THE CLASS NUMBER OF THE  
CYCLOTOMIC FIELD

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## TWO REMARKS CONCERNING DIRICHLET'S $L$ -FUNCTIONS AND THE CLASS NUMBER OF THE CYCLOTOMIC FIELD

1. In the following we denote by  $K$  an algebraic number field of degree  $n$  over the field  $P$  of rational numbers. In addition let  $d$  denote the discriminant,  $R$  the regulator and  $h$  the number of classes of ideals of  $K$ . BRAUER (cf. [3], p. 745) has proved the following<sup>1)</sup>

**Theorem 1.** *If  $K$  ranges over a sequence of fields normal over  $P$  for which  $n/\log|d| \rightarrow 0$ , then*

$$\log(Rh) \sim \log \sqrt{|d|}.$$

This theorem treats very general cases. If we restrict ourselves to the cyclotomic field  $K = P(\zeta)$ , where  $\zeta$  is a primitive  $p$ th ( $p$  is an odd prime.) root of unity, we have

**Theorem 2.** *For any positive  $\varepsilon$ ,*

$$2p^{\frac{1}{2}P}(2\pi)^{\frac{1}{2}(1-P)}c(\varepsilon)p^{-\varepsilon} < Rh < 2p^{\frac{1}{2}P}(2\pi)^{\frac{1}{2}(1-P)}\log^c p.$$

where  $c$  and  $c(\varepsilon)$  denote respectively an absolute positive constant and a positive constant depending on parameter  $\varepsilon$  alone.

This result introduced by TATUZAWA (cf. [8], p. 111) gives in this special case a sharper estimation than the one of theorem 1.

In this paper we consider the cyclotomic field  $K = P(\zeta)$ , where  $\zeta$  is a primitive  $m$ th root of unity. We suppose that the natural number  $m$  is  $>1$  and in addition we exclude those even values of  $m$ , which are not divisible by 4. This restriction is not essential because both the primitive  $m$ th and  $(m/2)$ th roots of unity generate the same field if  $m$  has some excluded value. We are able to extend theorem 2 and prove

**Theorem 3.** *If  $c$  and  $c(\varepsilon)$  are defined as above then*

$$c(\varepsilon)m^{-\varepsilon} < Rh/G < \exp(c(\log \log m + \omega(m))),$$

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<sup>1)</sup> The result of theorem 1 is an improvement of BRAUER'S earlier result (cf. [2], p. 243). This estimation cannot, however, be applied in the case of the cyclotomic field, because it treats only the cases, where  $d$  tends to infinity in such a way that the degree of the corresponding fields is fixed. It should be noted that VINOGRADOV (cf. [9], p. 562) has presented estimations, which complete the result of BRAUER, but which cannot be applied here, because they also presuppose that the degree of the fields under examination is fixed.

where

$$G = (2\pi)^{-\frac{1}{2}\varphi(m)} w \sqrt{|d|}$$

(As usual,  $\varphi$  denotes Euler's function.). Here

$$w = \begin{cases} 2m & \text{if } 2 \nmid m, \\ m & \text{if } 2 \mid m \end{cases}$$

is the number of roots of 1 contained in  $P(\zeta)$ .

It should be mentioned that it is possible to apply theorem 1 in the case of the cyclotomic field. It is known that this field is normal over  $P$  and in addition we have

$$\log |d| \geq \frac{1}{2} \varphi(m) \log m$$

(cf. [6], p. 27 and [5], p. 508). This yields

$$0 < n/\log |d| \leq 2/\log m \rightarrow 0,$$

when  $m$  tends to infinity. It is, however, easy to see that in the case of the cyclotomic field the result of theorem 3 is sharper than the one of theorem 1.

2. In addition we consider the so-called Dirichlet's  $L$ -functions

$$L(s, \chi) = \sum_{n=1}^{\infty} \chi(n)n^{-s}.$$

Here  $\chi(n)$  denotes a character (mod  $k$ ), where  $k$  is an arbitrary natural number and  $s$  is a real variable. For these functions we have (cf. [6], p. 31)

**Theorem 4.** Let  $\varepsilon$  be an arbitrary positive number,  $\delta$  a positive number  $< \frac{1}{2}$  and

$$\tau = \tau(k) = \begin{cases} \omega(k) & \text{if } 2 \nmid k, \\ \omega(k) + 1 & \text{if } 2 \mid k, \end{cases}$$

where  $\omega(k)$  denotes the number of different prime factors of  $k$ . If the extended Riemann hypothesis is true, there exists for every given pair  $s$  and  $k$  ( $\geq 3$ ), where

$$s \geq (\tau + \delta)/(\tau + 1), k > k_0(\varepsilon, \delta),$$

a non-principal character  $\chi(n)$  (mod  $k$ ) so that

$$(1) \quad |L(s, \chi)| < 1 + \varepsilon.$$

If  $k$  is an odd prime, the above theorem gives the result of ANKENY and CHOWLA (cf. [1], p. 487).

In the following we denote a character by  $\chi^*$ , when it is a primitive character and we especially want to lay stress on this fact. In this paper we restrict ourselves to the case  $k = p^u$  ( $p$  is a prime). In this special case we are able to reach a slightly deeper result than the one of theorem 4. We prove

**Theorem 5.** *Let  $\varepsilon$  and  $\delta$  be defined in the same way as in theorem 4. If the extended Riemann hypothesis is true, there exists for every given pair  $s$  and  $p^u$ , where*

$$(2) \quad s \geq \begin{cases} (1 + \delta)/2 & \text{if } p > 2 \text{ and } u \geq u_0 = u_0(\varepsilon, \delta, p), \\ (2 + \delta)/3 & \text{if } p = 2 \text{ and } u \geq u_0 = u_0(\varepsilon, \delta), \end{cases}$$

an odd primitive character  $\chi^* \pmod{p^u}$ , which satisfies the inequality (1).

As a consequence of this we get

**Theorem 6.** *If the conditions of theorem 5 are satisfied, then there exist for every given pair  $s$  and  $p^u$  at least  $u - u_0 + 1$  odd characters  $\chi \pmod{p^u}$ , which satisfy the inequality (1).*

**3.** Consider theorem 3. It is known that there exists for each character  $\chi \pmod{m}$  a unique character  $\chi^* \pmod{f}$  equivalent to  $\chi$ , where  $f = f(\chi)$  is the conductor of  $\chi$ . We denote by  $S$  the set of all these characters  $\chi^* \pmod{f(\chi)}$ . Obviously  $S$  is also the set of all the primitive characters each of which is equivalent to a character  $\pmod{m}$ . We need the following lemmas:

**Lemma 1.** *If  $S'$  is the set  $S$  excluding the principal character  $\chi_0$ , we have*

$$h = (2\pi)^{-\frac{1}{2}\sigma(m)} R^{-1} w \sqrt{|d|} \prod_{\chi \in S'} L(1, \chi)$$

(cf. [4], p. 402).

**Lemma 2.** *Let  $\chi (\neq \chi_0)$  be a character  $\pmod{m}$ , which has the conductor  $f$ . Then*

$$L(1, \chi^*) = L(1, \chi) \prod_{p|m} (1 - \chi^*(p)/p)^{-1},$$

where  $\chi^*$  is the corresponding primitive character  $\pmod{f}$  and  $p$  runs through the prime factors of  $m$  (cf. [7], p. 127).

**Lemma 3.**  $\omega(m) = O(\log m / \log \log m)$   
(cf. [8], p. 108).

**Lemma 4.** *Let  $N(k)$  denote the set of the characters  $\pmod{k}$ . Then*

$$c(\varepsilon)m^{-\varepsilon} < \left| \prod_{\chi \in N'} L(1, \chi) \right| < \exp(c(\log \log m + \omega(m))),$$

where  $N'$  is the set  $N(m)$  excluding  $\chi_0$  (cf. [8], p. 110).

**Lemma 5.** *If  $m_t$  is the greatest divisor of  $m$  prime to  $t$ , then*

$$\sum_{\chi \in \mathcal{S}} \chi(t) = \sum_{\chi \in \mathcal{N}(m_t)} \chi(t).$$

The proof of this lemma is analogous to the proof of the corresponding result of lemma 7 in [6].

We write, by lemma 2,

$$\prod_{\chi \in \mathcal{S}'} L(1, \chi) = \prod_1 \prod_2 \prod_3,$$

where

$$\prod_1 = \prod_{\chi \in \mathcal{N}'} L(1, \chi), \quad \prod_2 = \prod_{\chi \in \mathcal{S}} \prod_{p|m} (1 - \chi(p)/p)^{-1},$$

$$\prod_3 = \prod_{p|m} (1 - p^{-1}).$$

We get an estimation for the product  $\prod_1$  from lemma 4. Consider the product  $\prod_2$  (cf. [6], p. 26). It can be expressed in the form

$$\prod_1 = \exp\left(\sum_{\chi \in \mathcal{S}} \sum_{p|m} \sum_{j=1}^{\infty} \chi(p^j) j^{-1} p^{-j}\right).$$

Further we have, by lemma 5,

$$\sum_{\chi \in \mathcal{S}} \chi(p^j) = \begin{cases} \varphi(m_p) & \text{if } p^j \equiv 1 \pmod{m_p}, \\ 0 & \text{otherwise,} \end{cases}$$

where  $m_p$  denotes the greatest divisor of  $m$  prime to  $p$ . Let  $\sigma$  denote the least positive exponent so that

$$p^\sigma \equiv 1 \pmod{m_p}.$$

Now if

$$(3) \quad p^\nu \equiv 1 \pmod{m_p},$$

then  $\sigma|\nu$  and, on the other hand, if  $\sigma|\nu$  then (3) holds. Since we can write

$$\sum_{j=1}^{\infty} (p^{-\sigma})^j = (p^\sigma - 1)^{-1} \leq m_p^{-1},$$

we have

$$\sum_{\chi \in \mathcal{S}} \sum_{p|m} \sum_{j=1}^{\infty} \chi(p^j) j^{-1} p^{-j} = O\left(\sum_{p|m} \varphi(m_p)/m_p\right) = O(\omega(m)).$$

Hence

$$e^{-c\omega(m)} < \prod_2 < e^{c\omega(m)}.$$

For  $\prod_3$  we finally get

$$2^{-\omega(m)} < \prod_3 < \omega(m).$$

The above results yield, by lemma 3,

$$(4) \quad c(\varepsilon)m^{-\varepsilon} < \left| \prod_{\chi \in \mathcal{S}'} L(1, \chi) \right| < \exp(c(\log \log m + \omega(m))),$$

which proves theorem 3. Here  $c(\varepsilon)$  and  $c$  are not necessarily the same in lemma 4 and in (4).

4. Consider now theorem 5. If  $s$  satisfies the conditions (2) and the extended Riemann hypothesis is true, we can write (cf. [6], p. 35)

$$\prod_{\chi \in Q(p^u)} L(s, \chi) = \exp(\varphi(p^u) \psi(p^u)),$$

where  $Q(p^u)$  is the set of the odd characters  $\chi \pmod{p^u}$ , and  $\psi(p^u) \rightarrow 0$ , when  $p^u$  tends to infinity. Because  $Q(p^{u-1}) \subset Q(p^u)$ , we can decide that

$$T = T(p^u) = Q(p^u) - Q(p^{u-1})$$

is the set of the odd primitive characters  $\chi^* \pmod{p^u}$ . Further

$$(5) \quad \begin{aligned} \prod_{\chi \in T} L(s, \chi) &= \exp(\varphi(p^u) \psi(p^u) - \varphi(p^{u-1}) \psi(p^{u-1})) \\ &= \exp(\varphi(p^{u-1}) \psi_1(p^u)), \end{aligned}$$

where

$$\psi_1(p^u) = p\psi(p^u) - \psi(p^{u-1}).$$

If  $p$  is fixed and  $u \rightarrow \infty$ , then  $\psi_1(p^u) \rightarrow 0$ . Let  $g$  denote the number of the odd primitive characters  $\pmod{p^u}$ . We find that

$$g = \begin{cases} \frac{1}{2}(\varphi(p^u) - \varphi(p^{u-1})) & \text{if } u \geq 2, \\ \frac{1}{2}(p - 1) & \text{if } u = 1. \end{cases}$$

In both cases  $g \geq \frac{1}{2} \varphi(p^{u-1})$ , and we can see, by (5), that theorem 5 is true.

Because  $T(p^j) \cap T(p^{j-1}) = \emptyset$  ( $u \geq j \geq 2$ ) and

$$\bigcup_{j=u_0}^u T(p^j) \subset Q(p^u),$$

we can determine that there exist at least  $u - u_0 + 1$  odd characters  $\pmod{p^u}$  satisfying the inequality (1). This yields theorem 6.

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